



Celestial and Spaceflight
Mechanics Laboratory



Minimum Energy Configurations in the N-body Problem and the Celestial Mechanics of Granular Systems

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Granular Mechanics and Asteroids



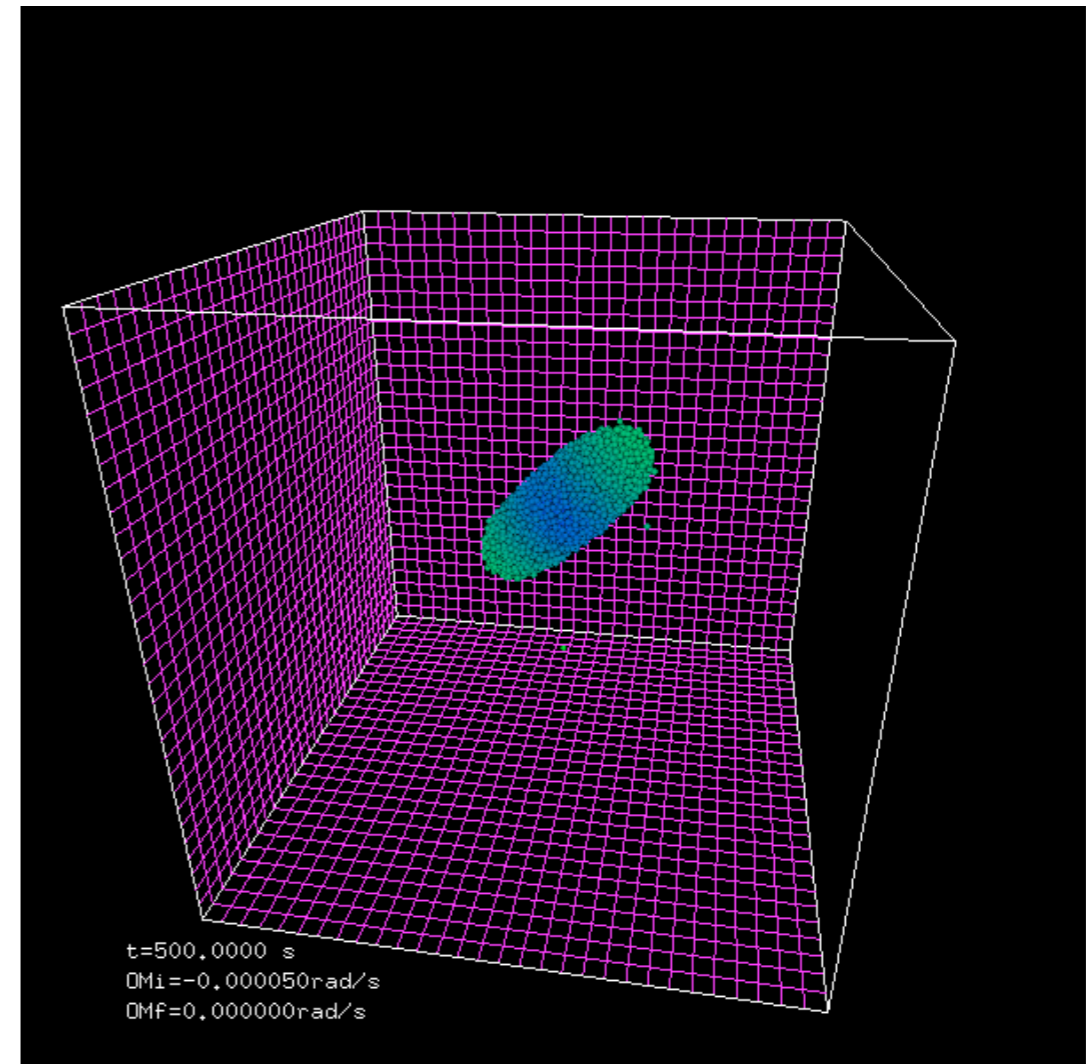
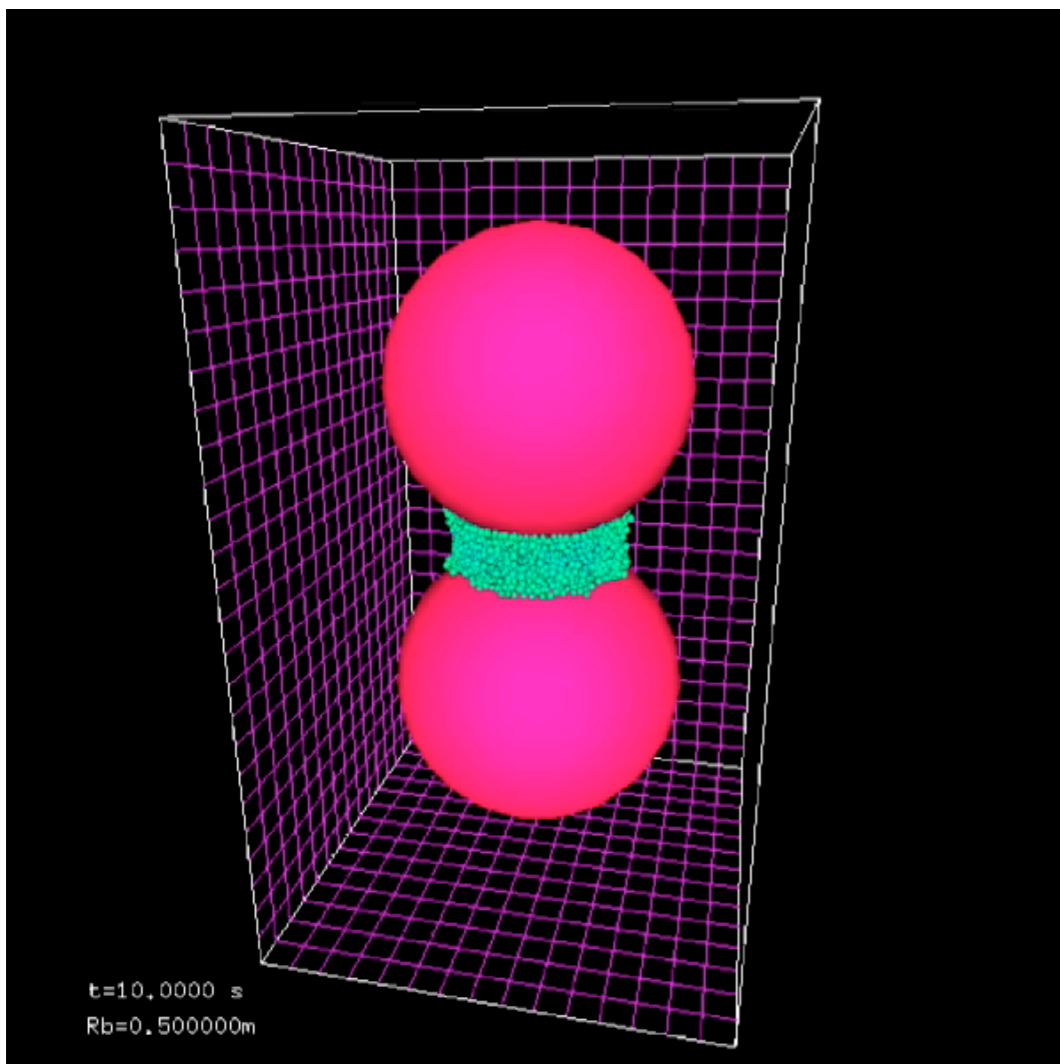
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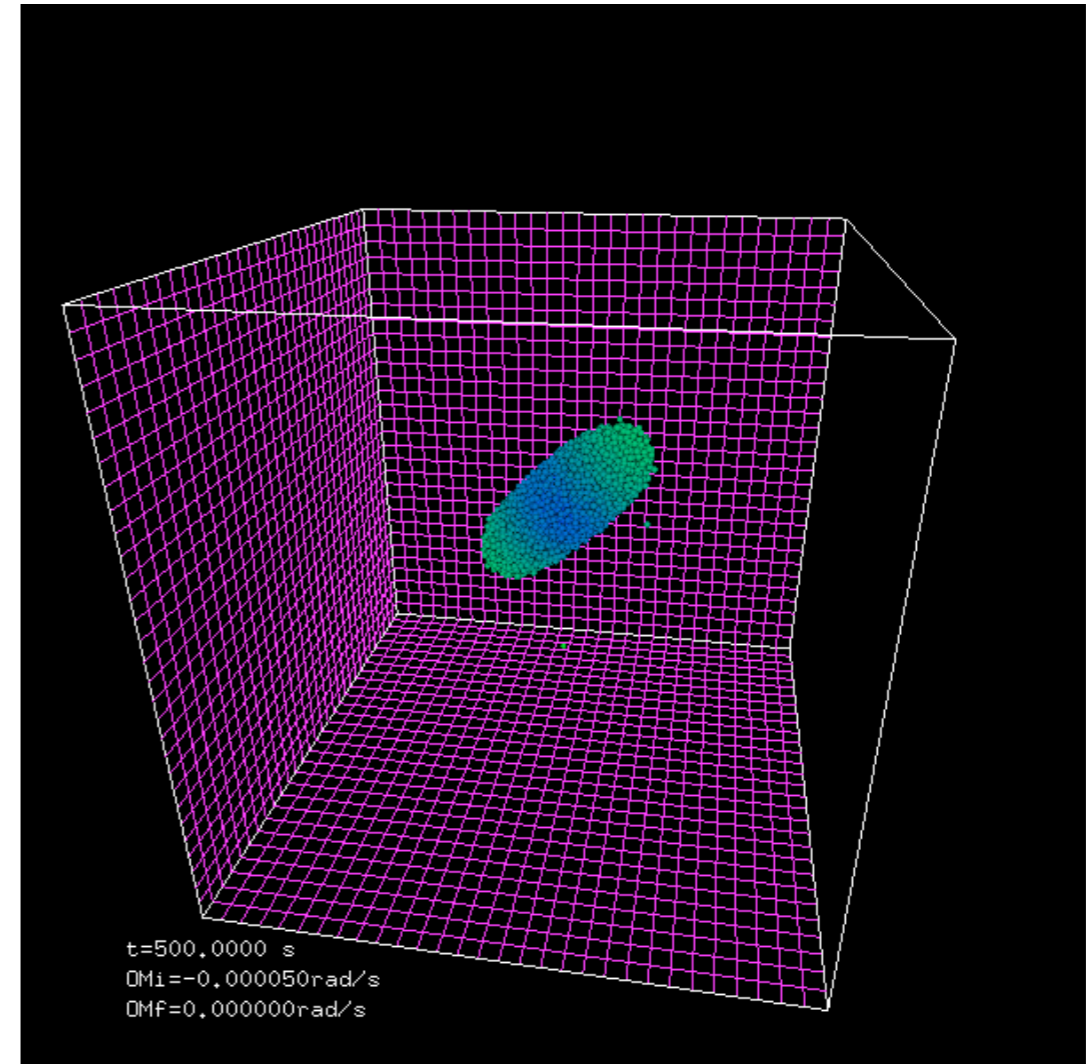
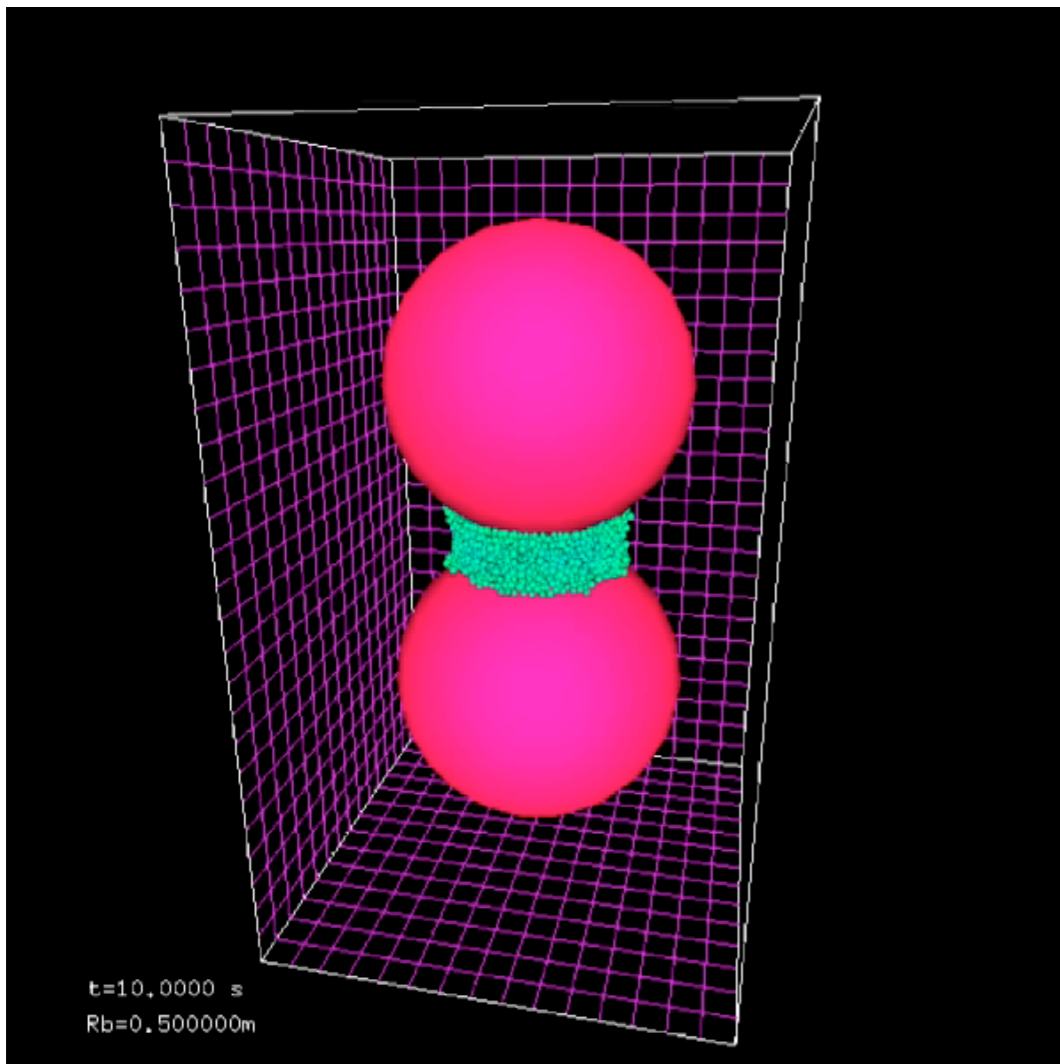




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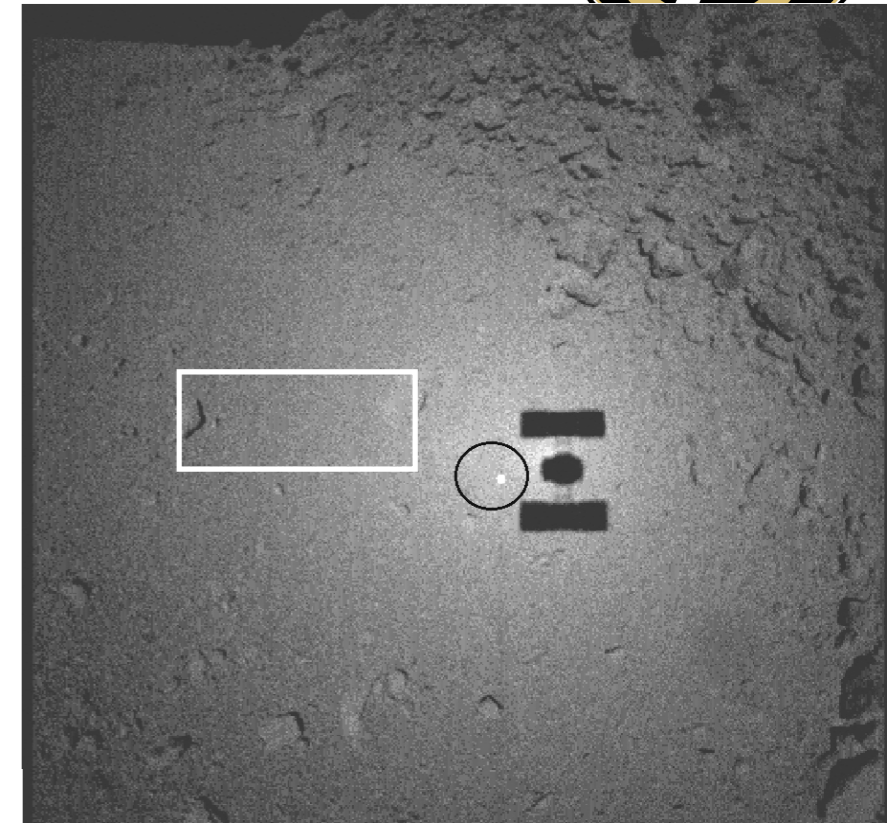
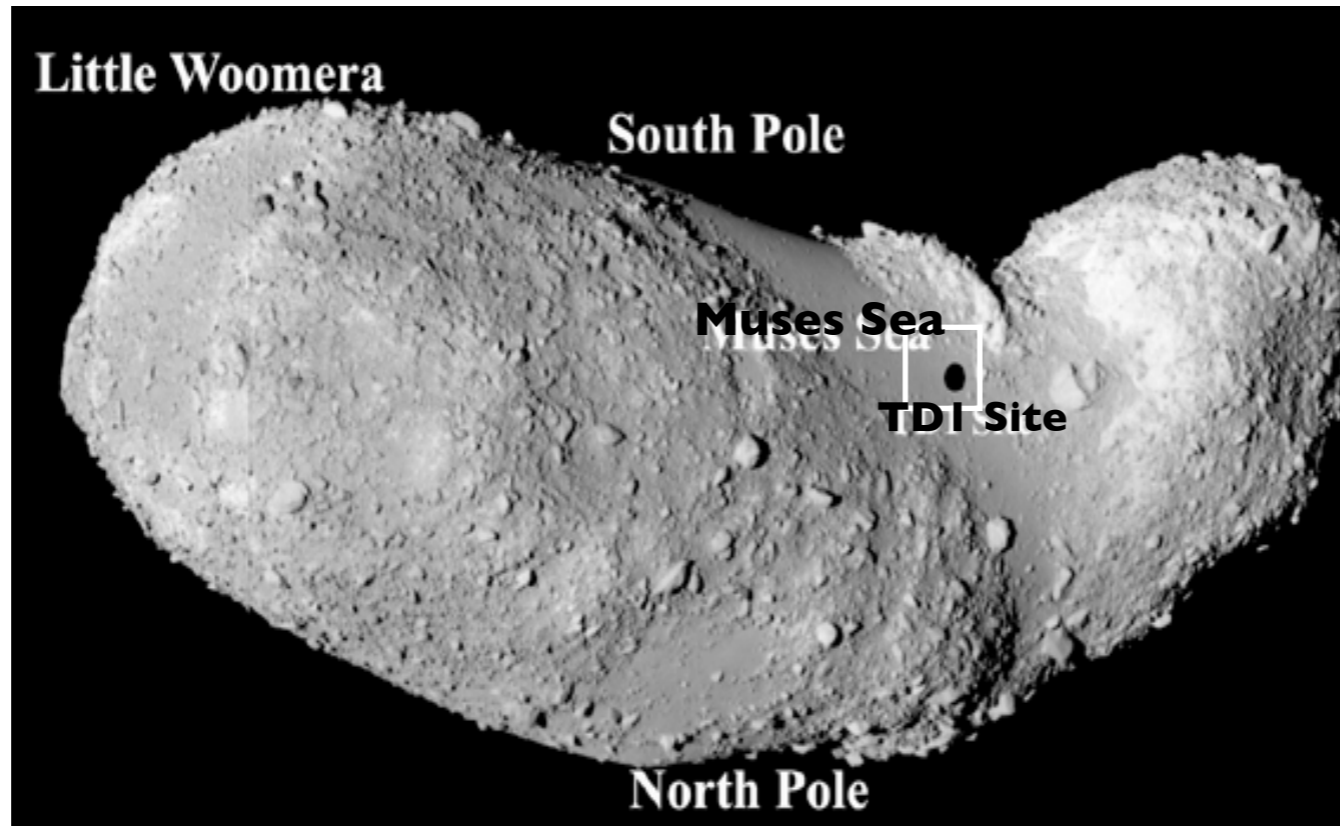




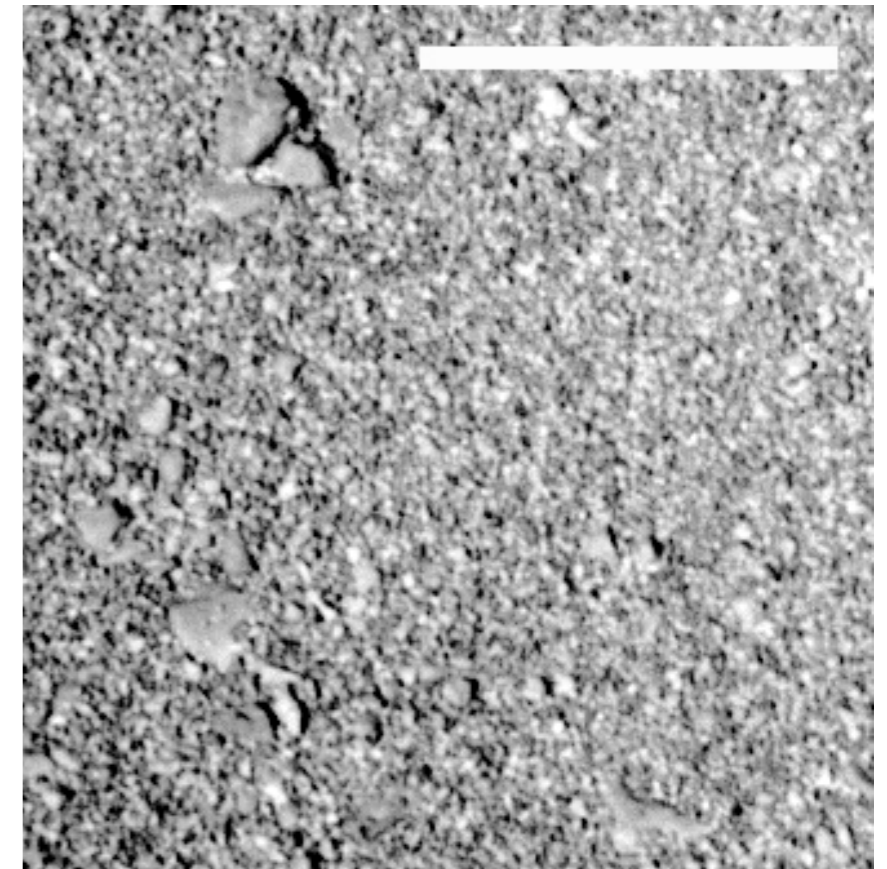
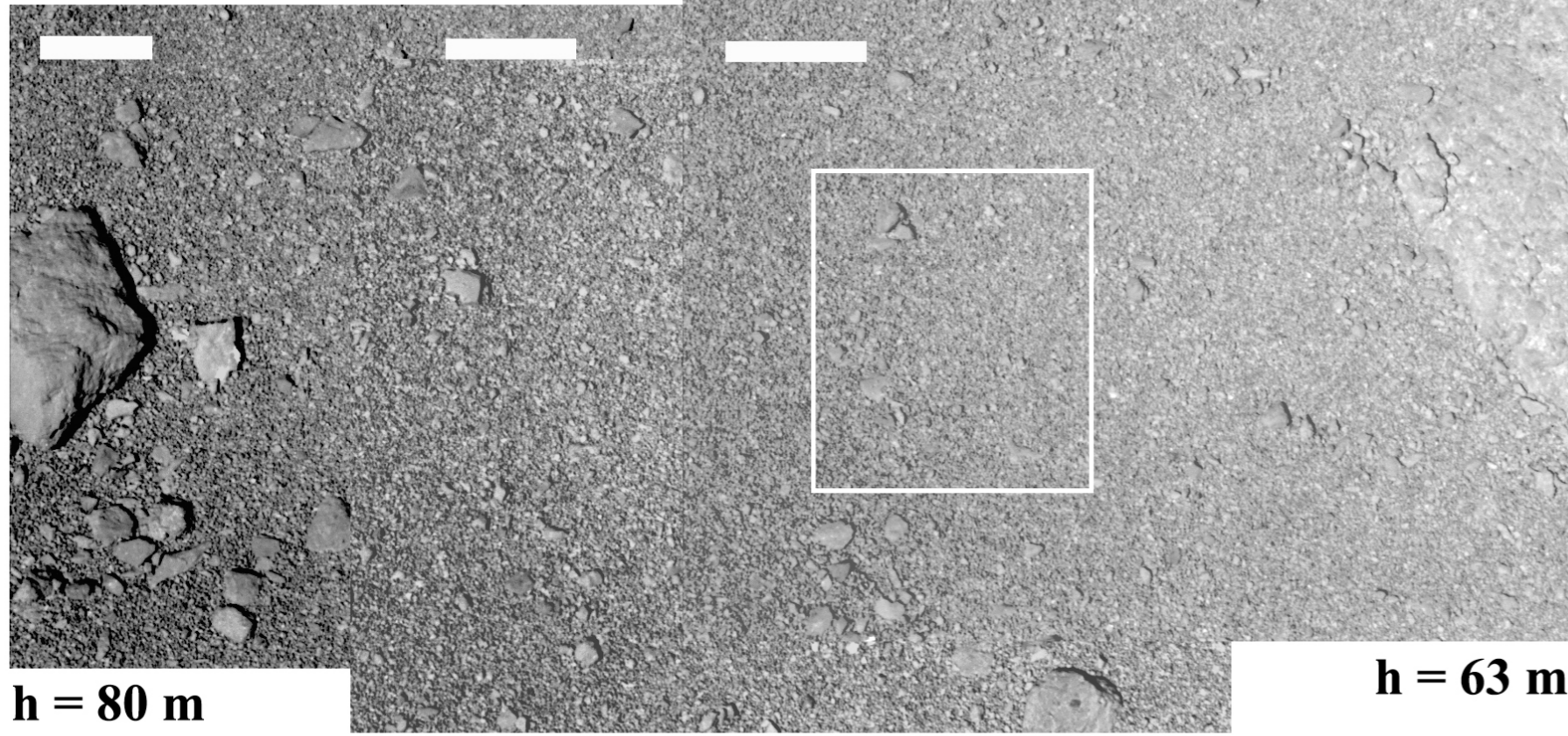
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1m

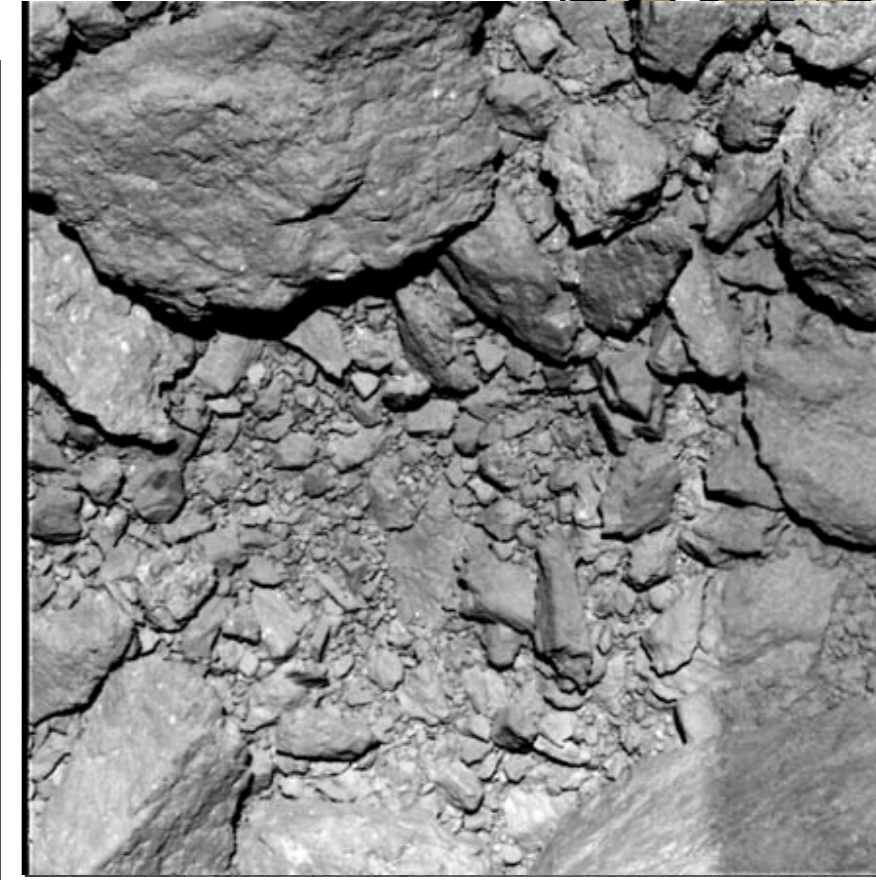
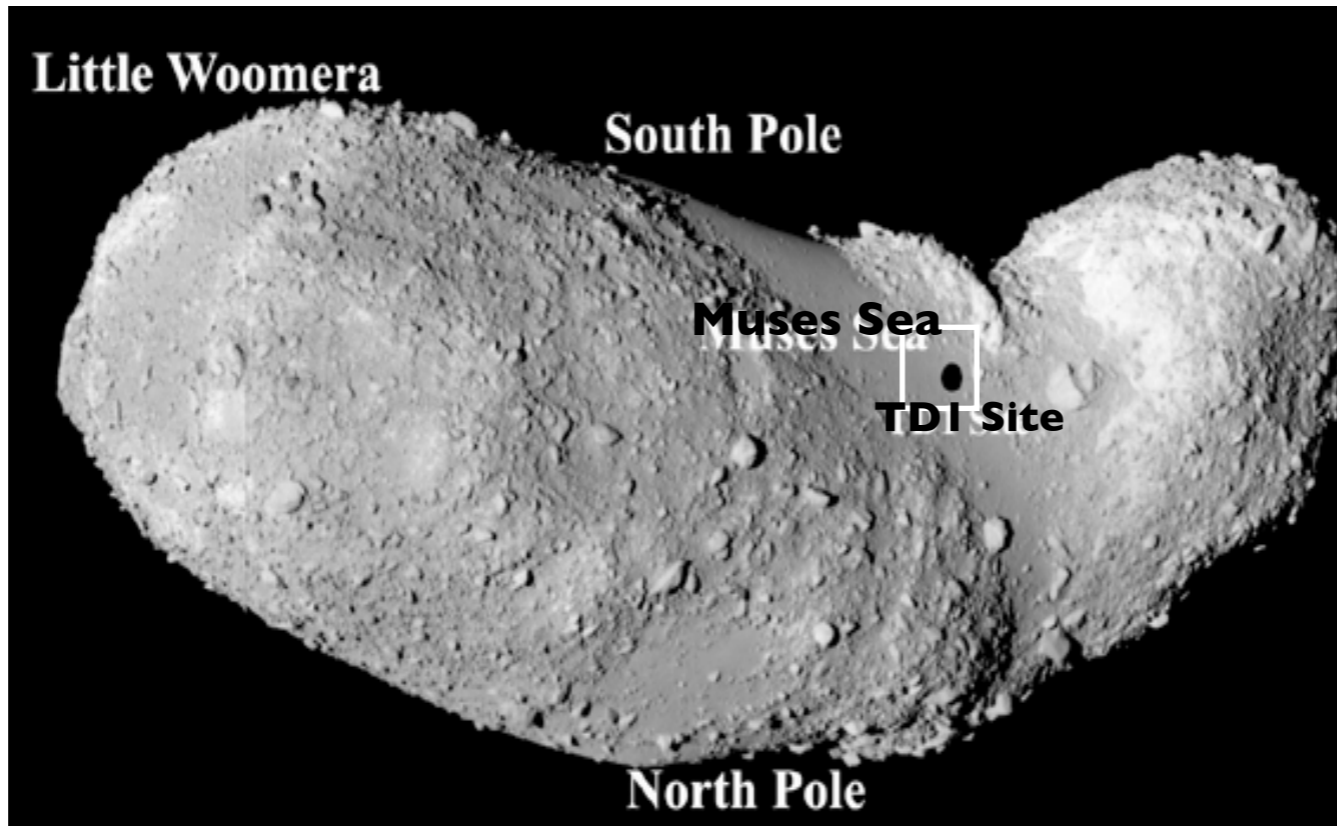




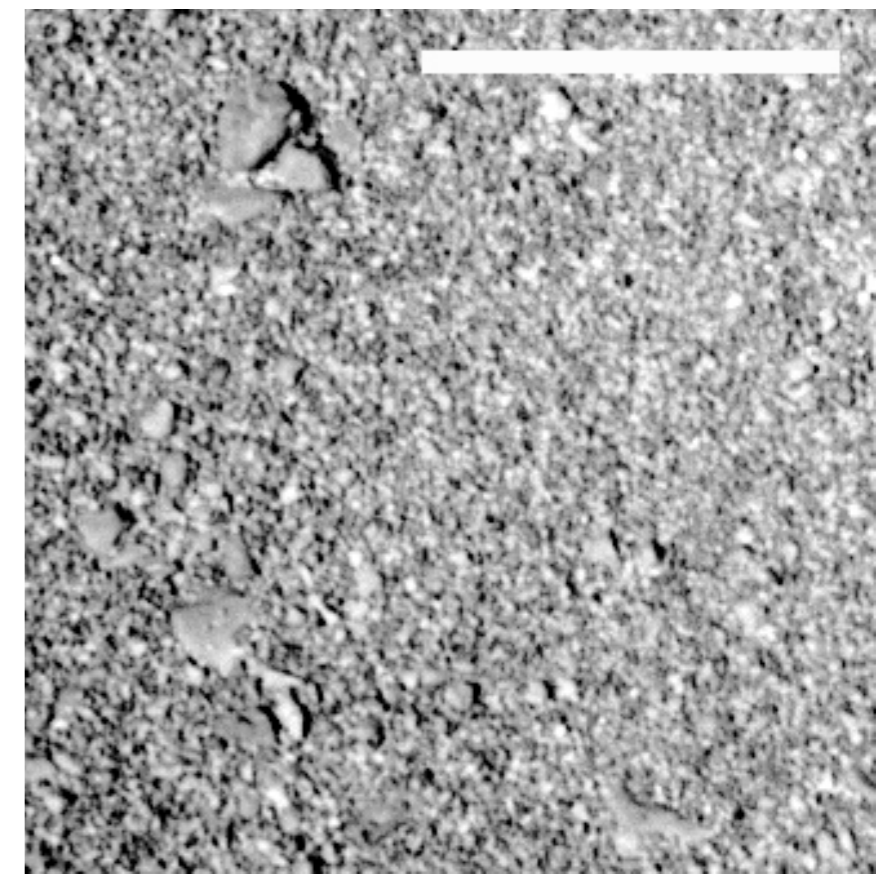
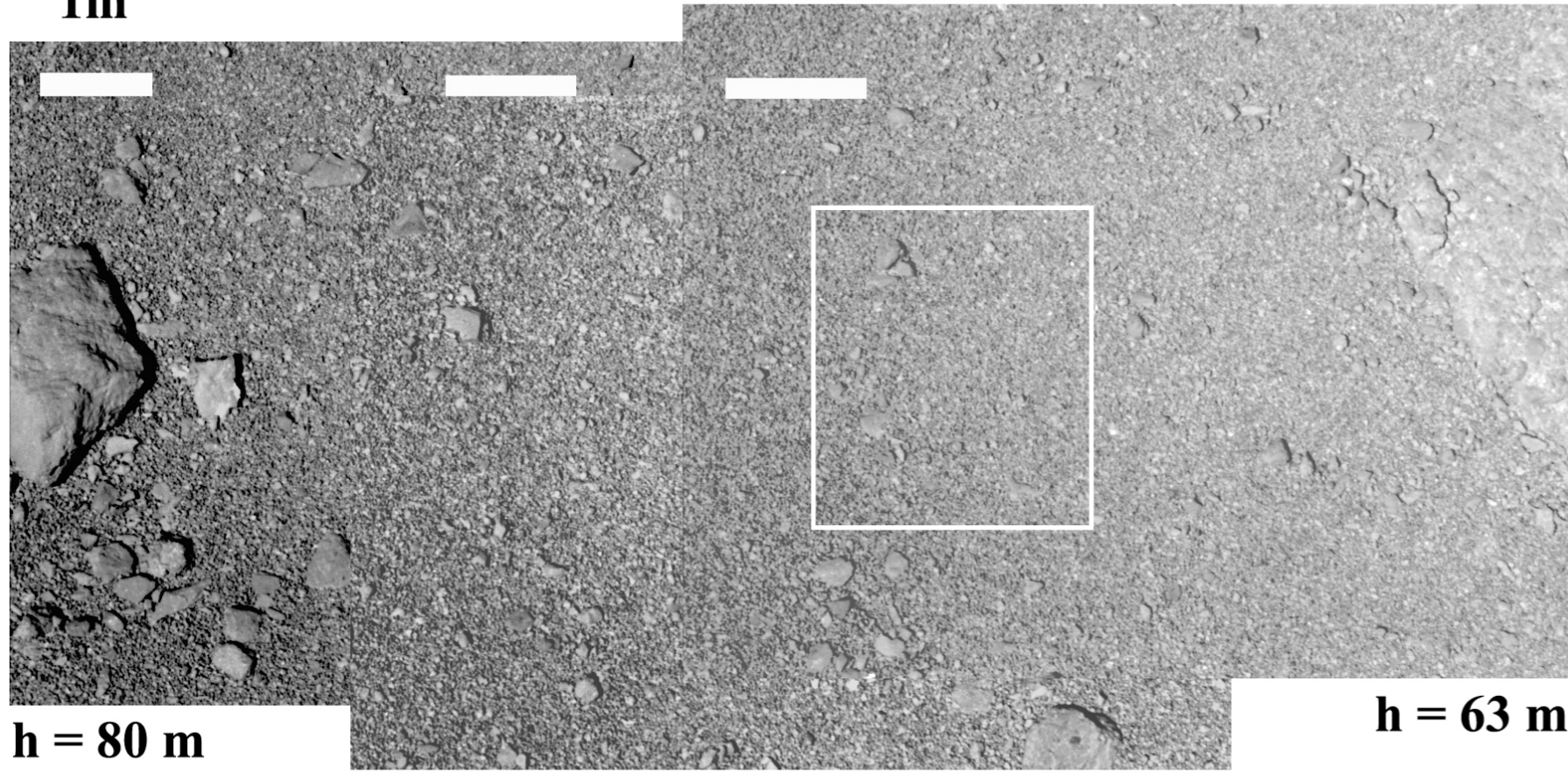
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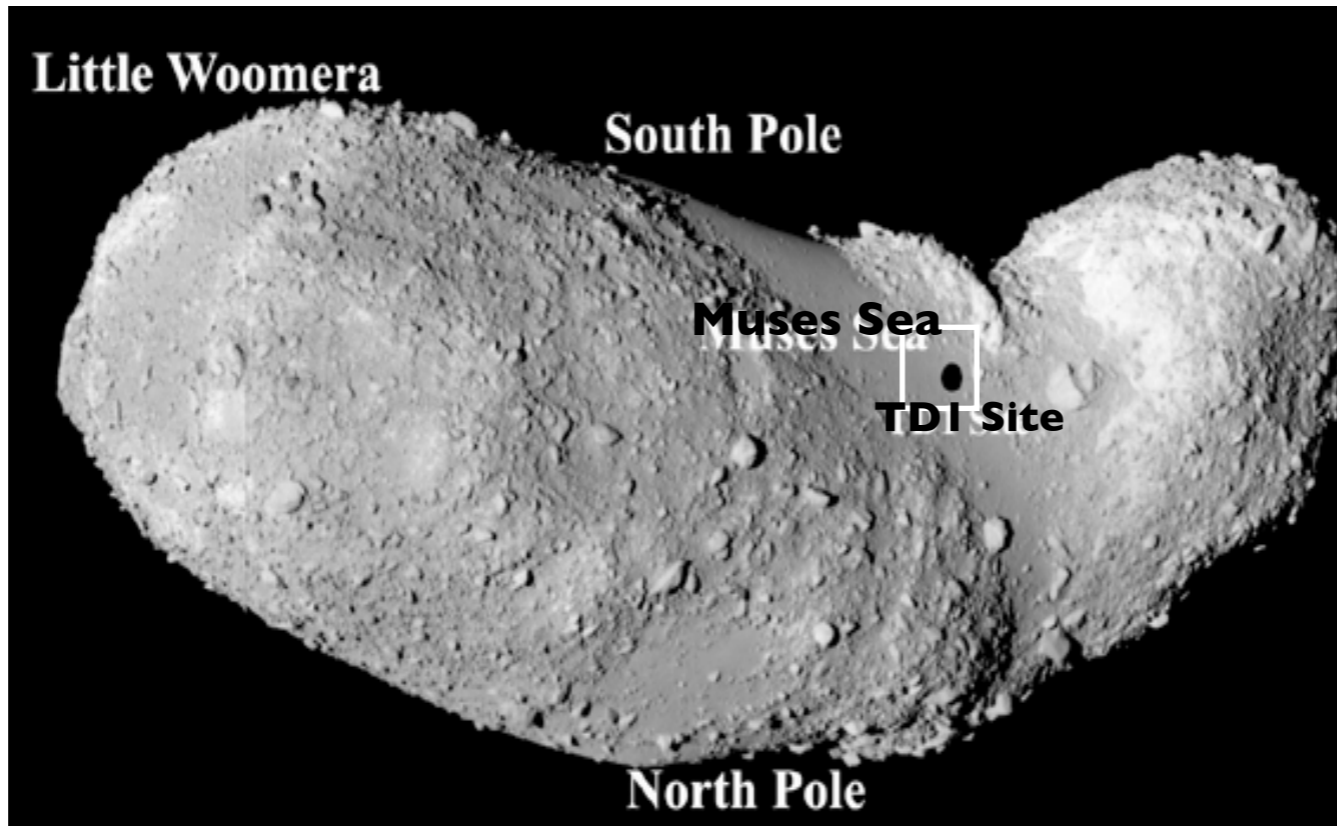




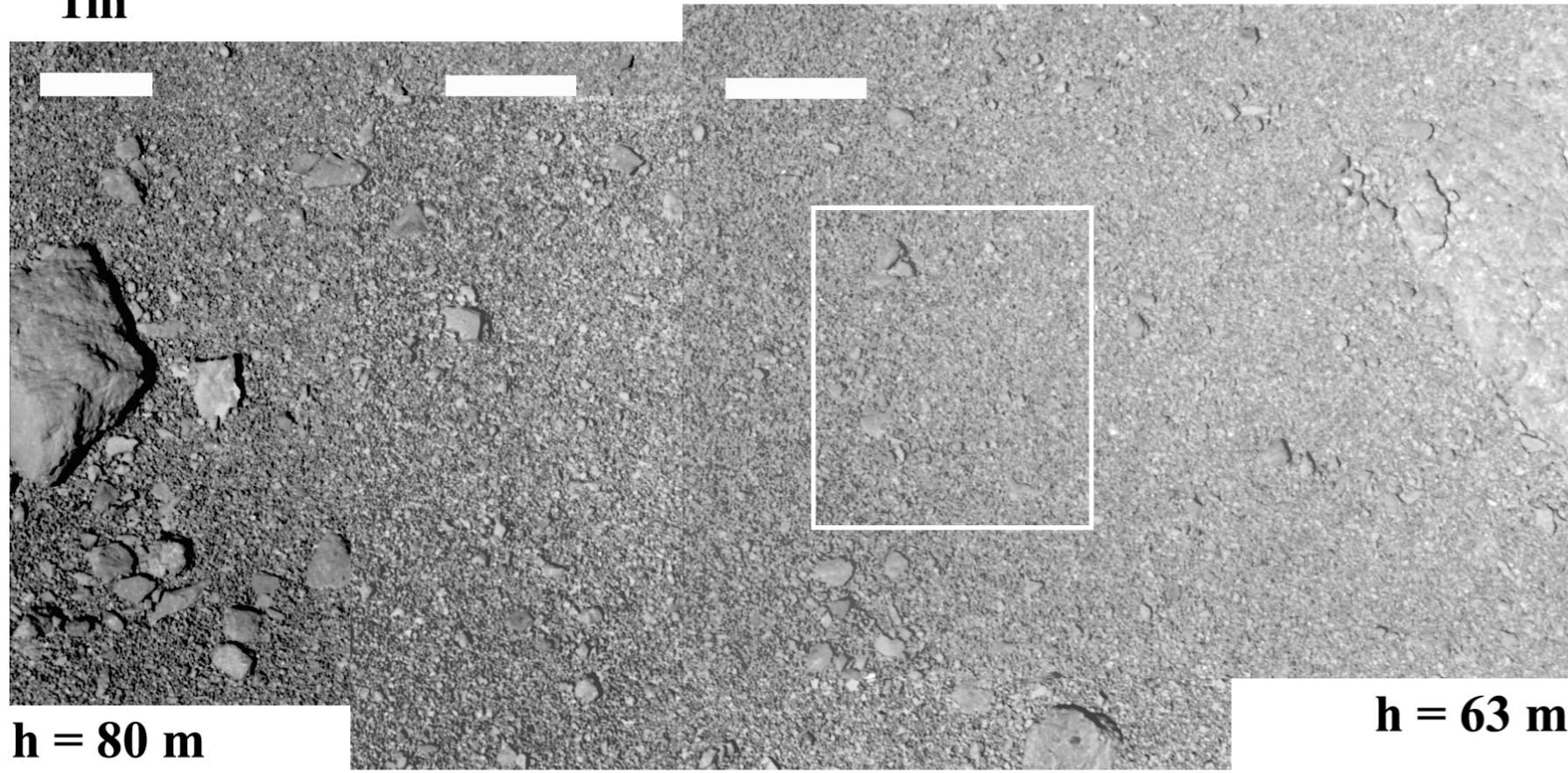
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$h = 80 \text{ m}$

$h = 68 \text{ m}$

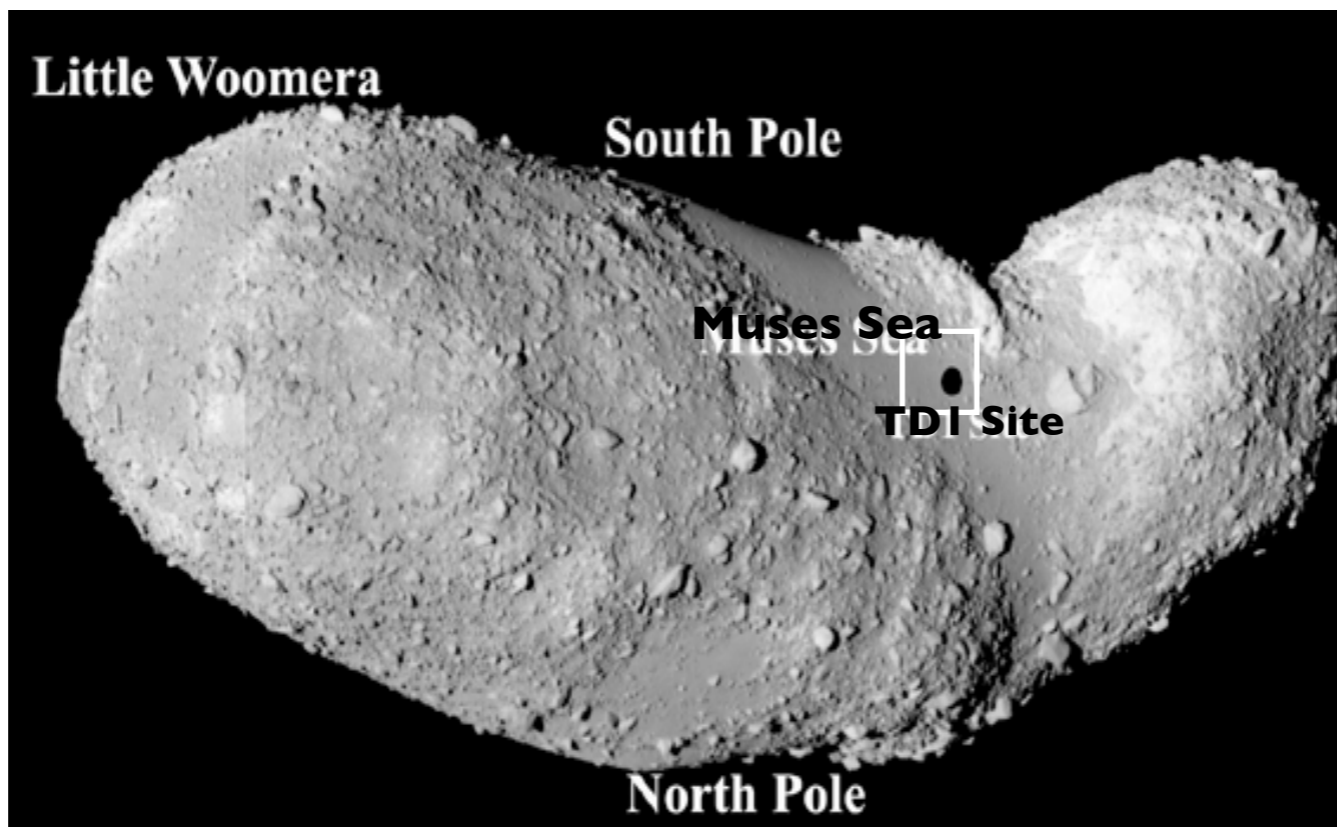
$h = 63 \text{ m}$



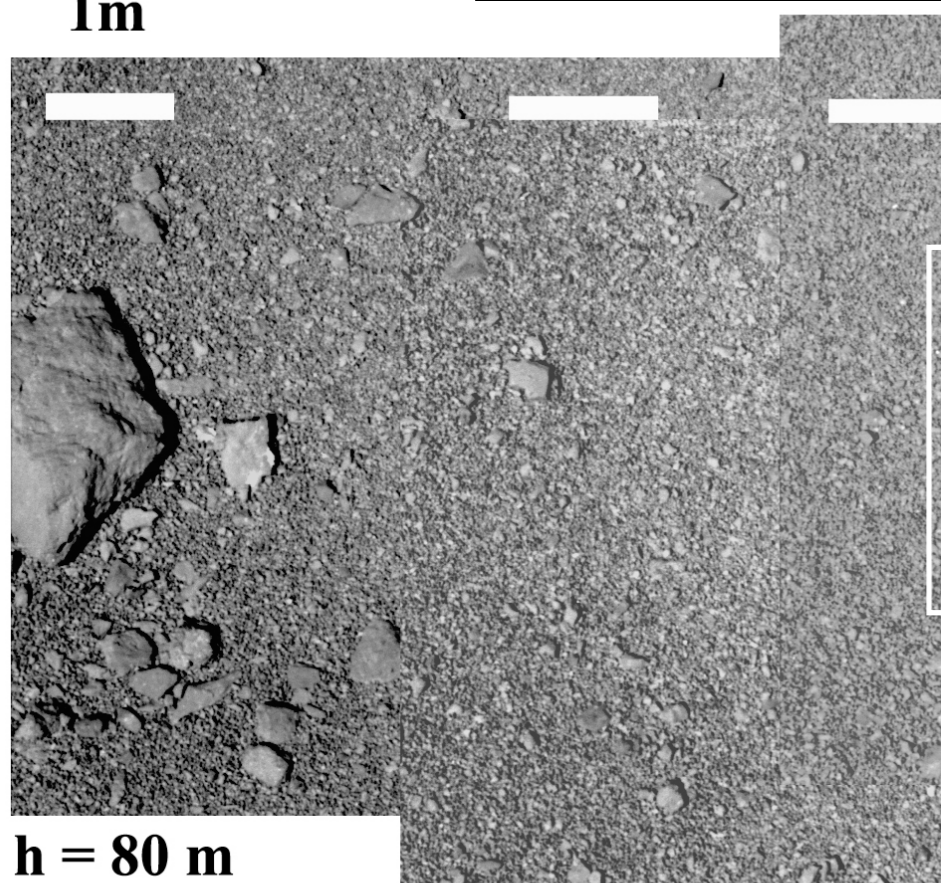
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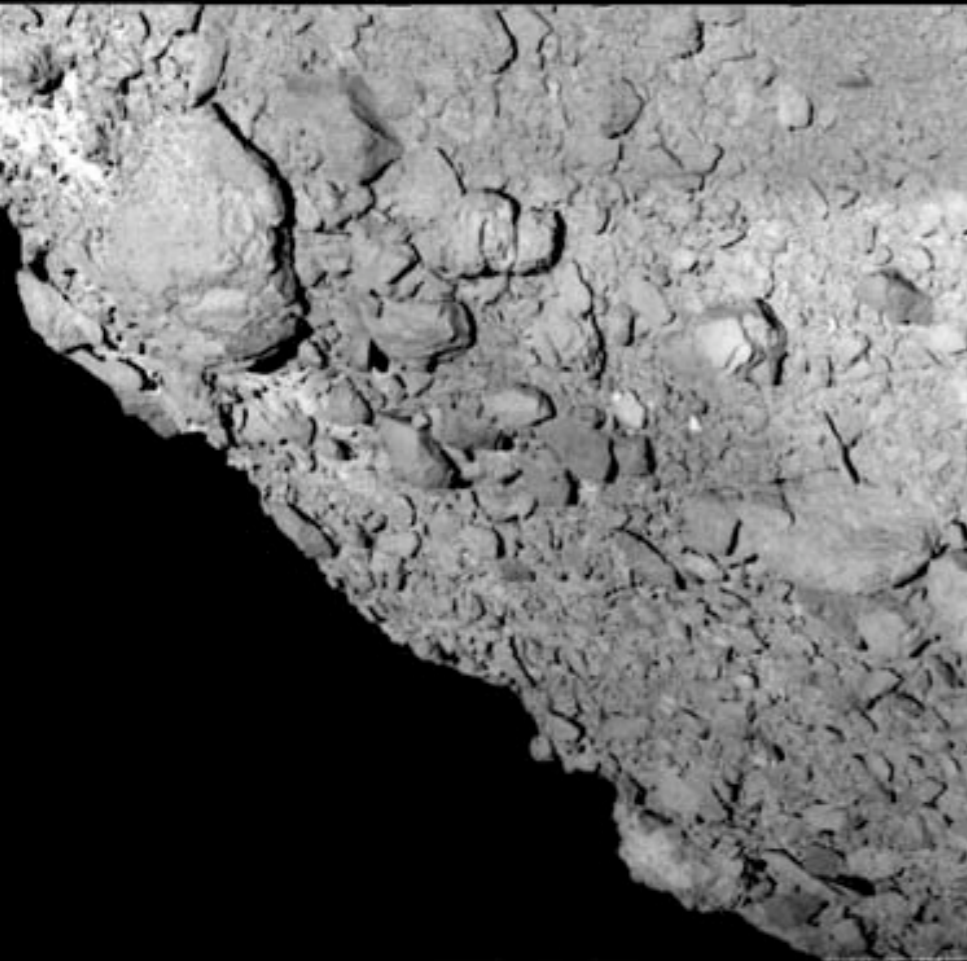
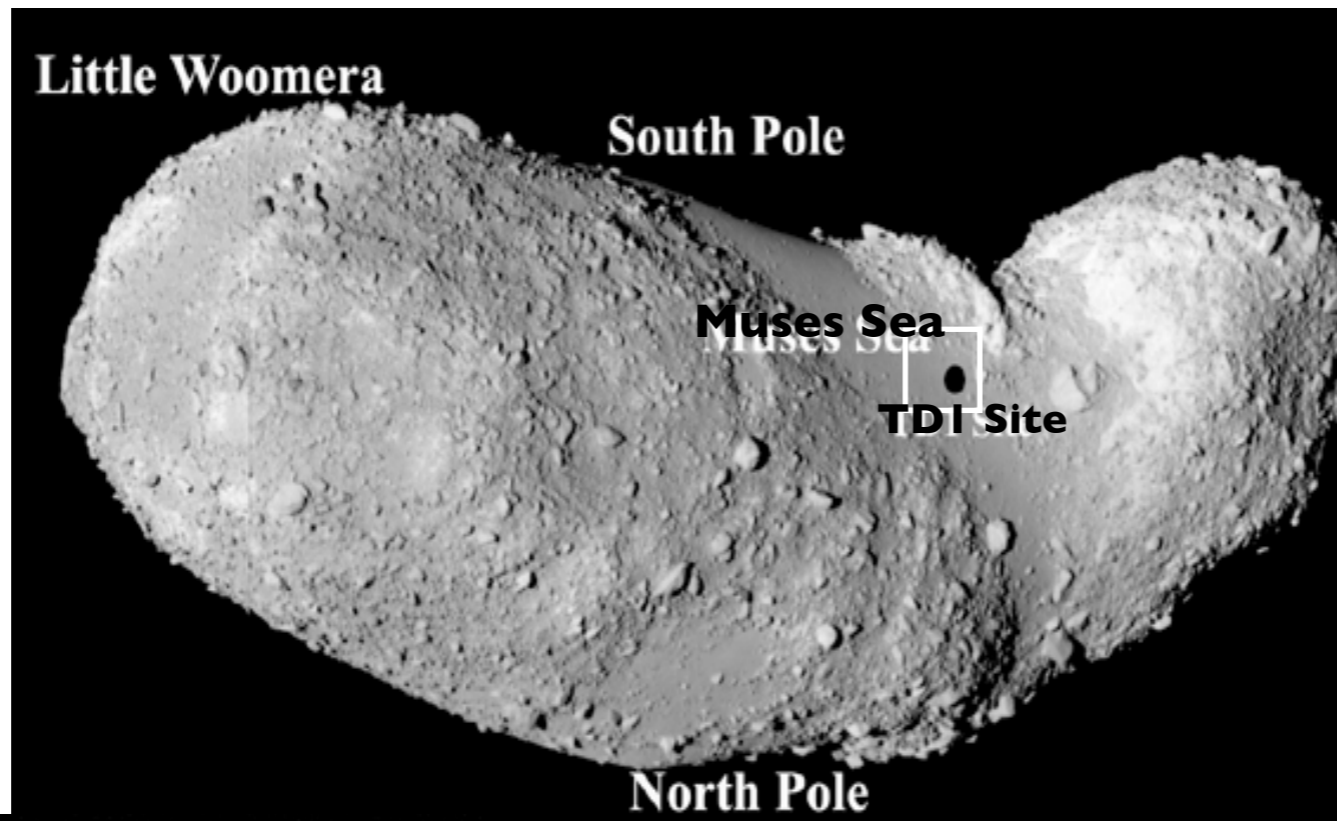




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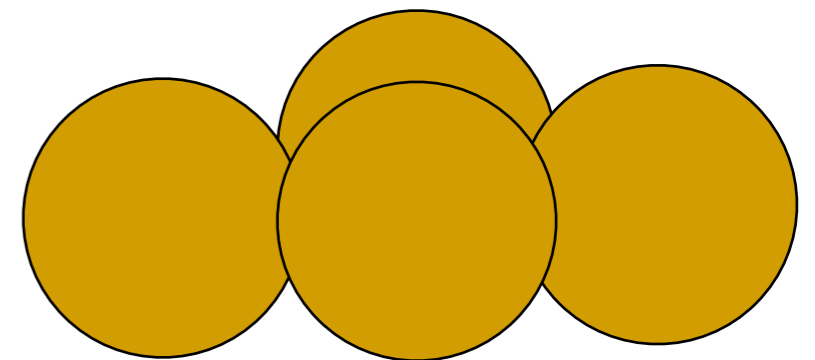
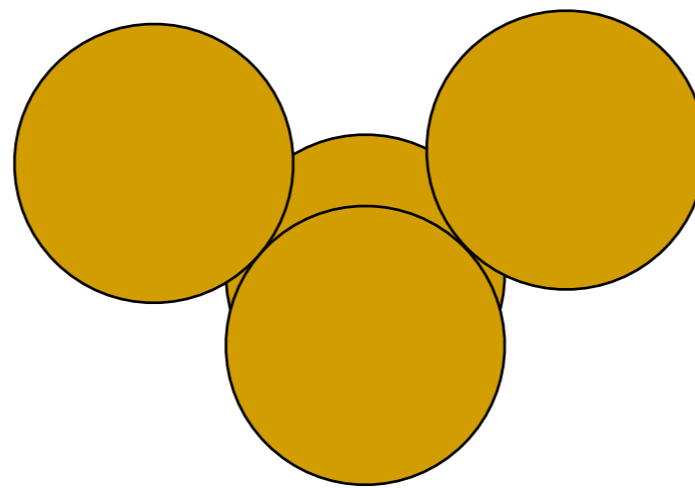
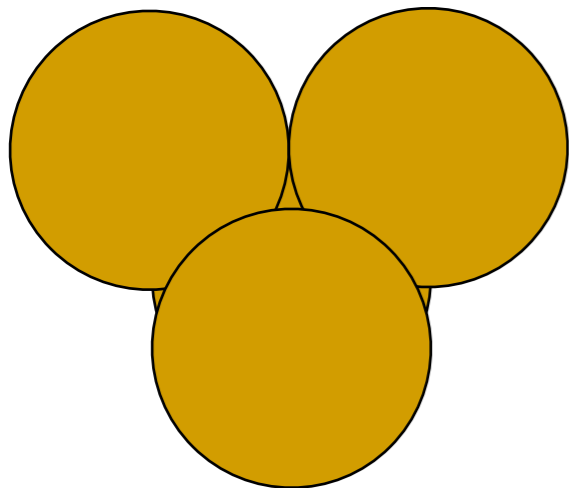




Discrete Granular Mechanics and Celestial Mechanics



- The theoretical mechanics of few-body systems can shed light on more complex aggregations and their evolution

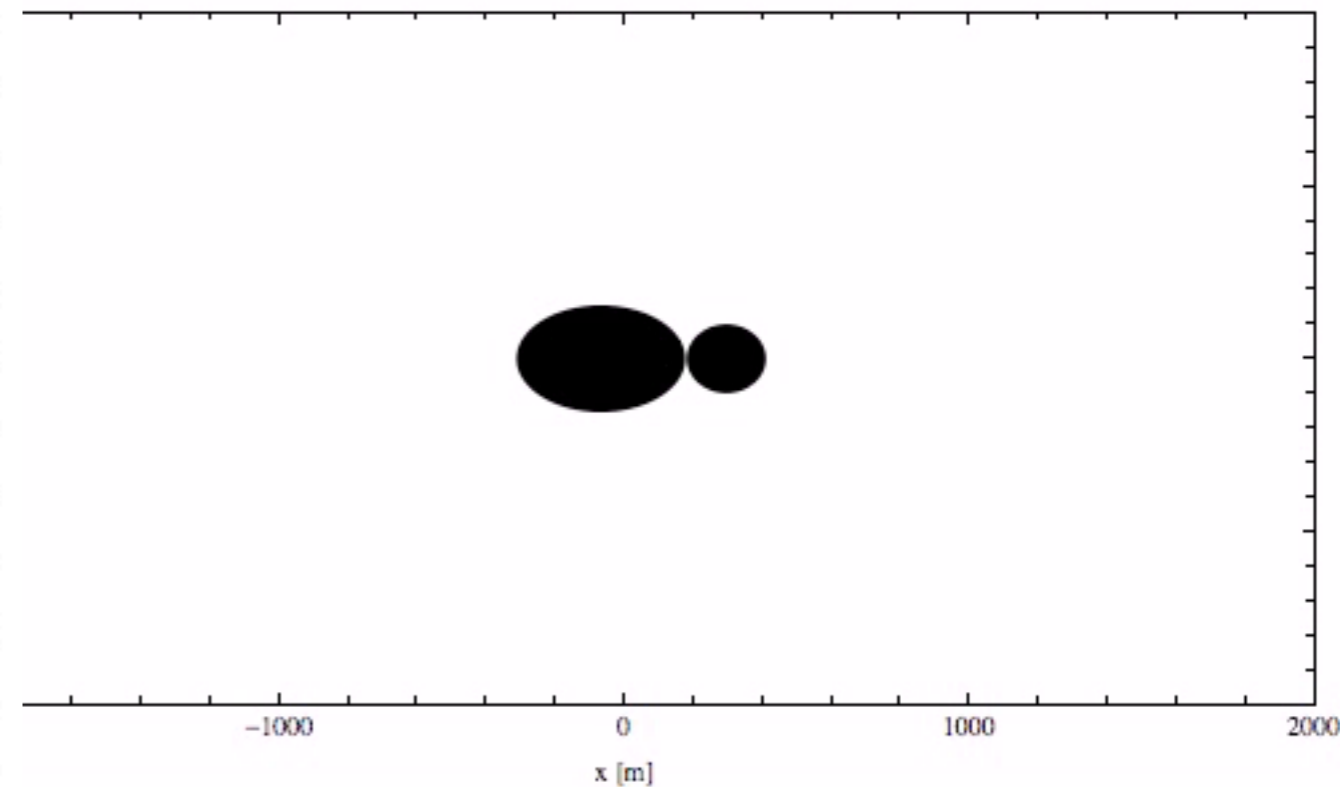
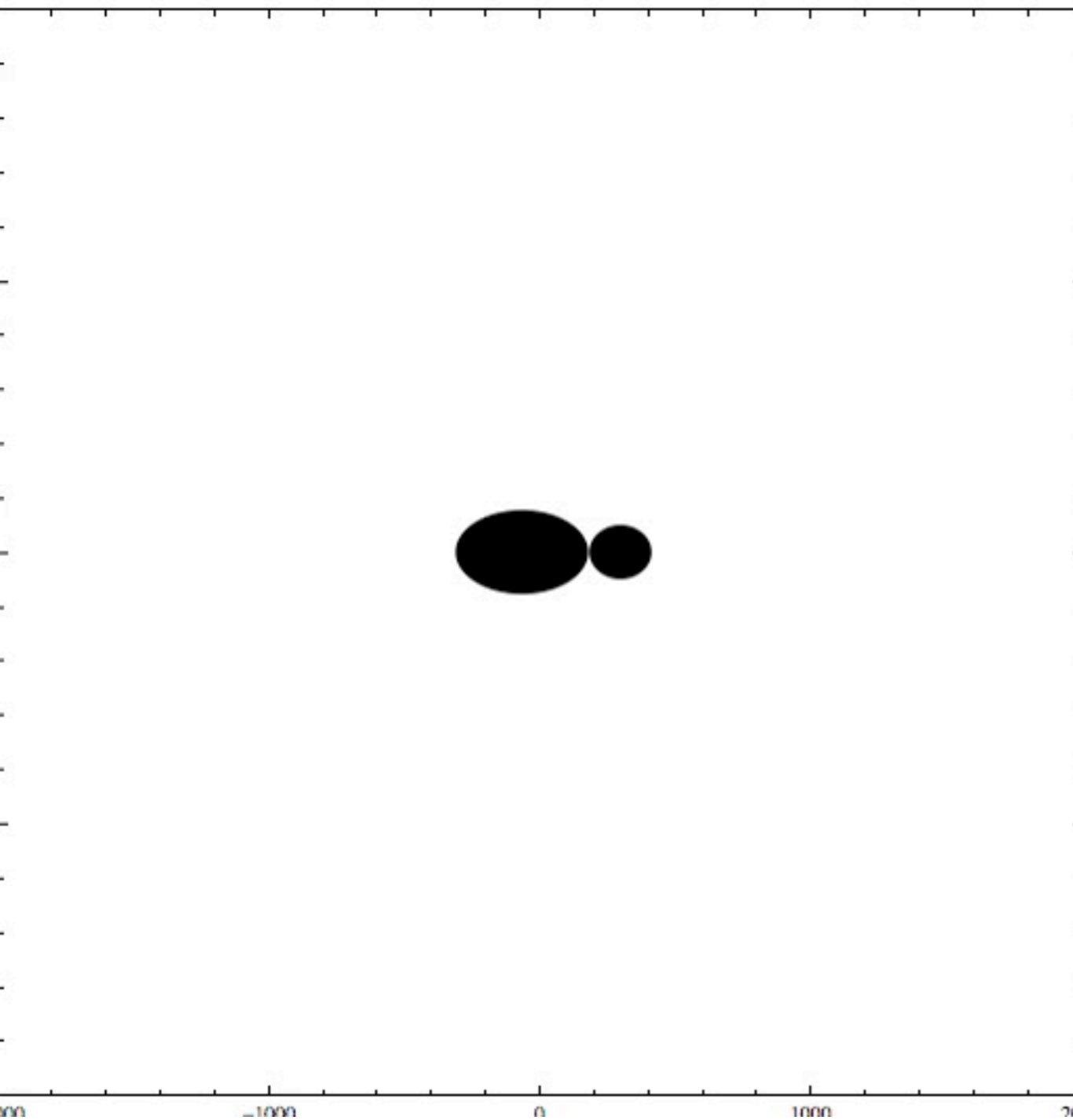




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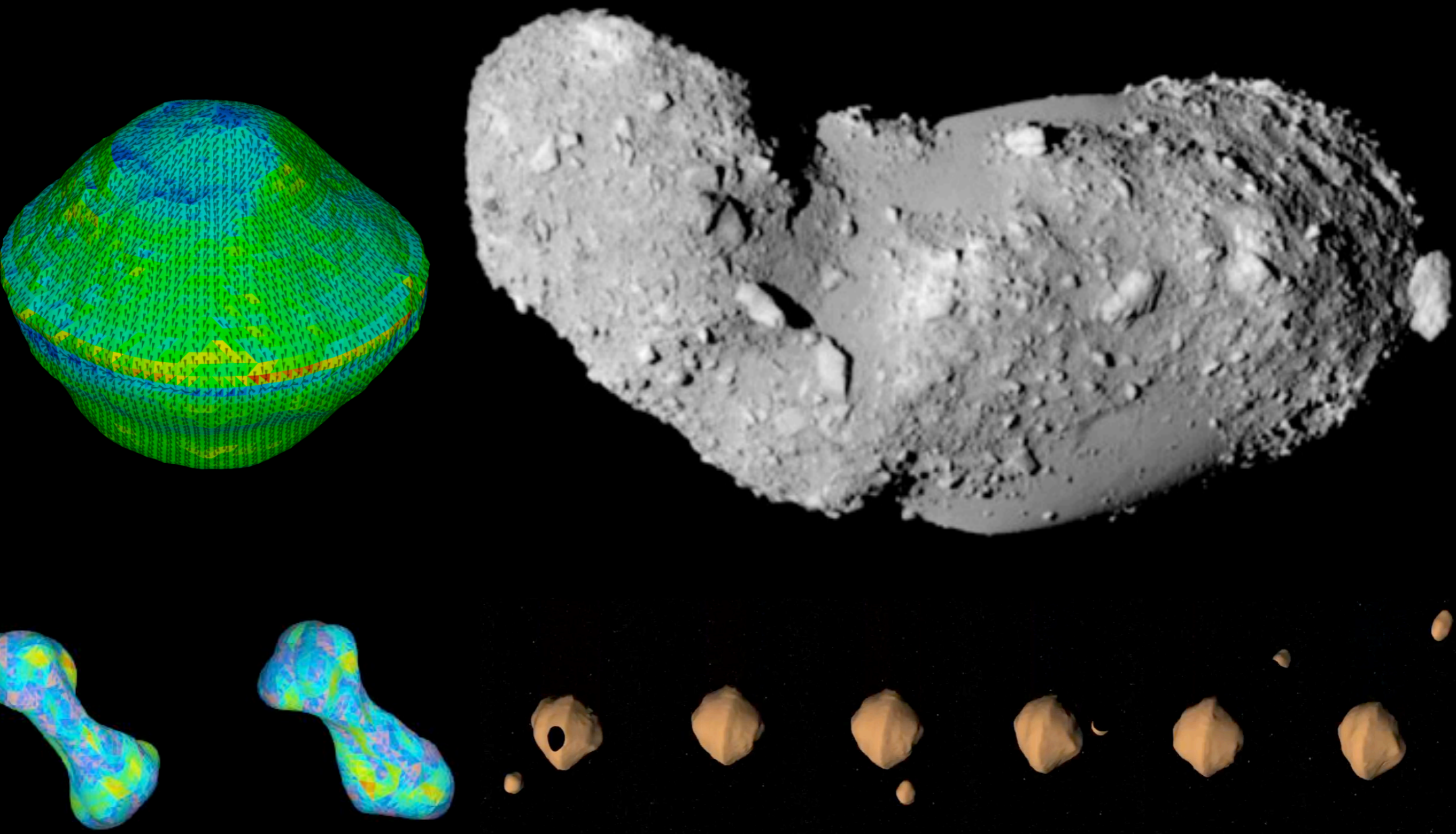


Movies by S.A. Jacobson



Fundamental and Simple Question:

What is the expected configuration for a collection of self-gravitating grains?





Fundamental Concepts:

- The N-body problem:

$$m_i \ddot{\mathbf{r}}_i = - \frac{\partial U}{\partial \mathbf{r}_i}$$

$$i = 1, 2, \dots, N$$

$$U = - \frac{\mathcal{G}}{2} \sum_{j=1}^N \sum_{k=1, \neq i}^N \frac{m_j m_k}{r_{jk}}$$

$$\mathbf{r}_{jk} = \mathbf{r}_k - \mathbf{r}_j$$

$$r_{jk} = |\mathbf{r}_k - \mathbf{r}_j|$$

$$\mathbf{0} = \sum_{j=1}^N m_j \mathbf{r}_j$$

- Mass:

– In the Newtonian N-Body Problem each particle has a total mass m_i modeled as a point mass of infinite density



Fundamental Concepts:



- Angular Momentum:

$$\mathbf{H} = \sum_{j=1}^N m_j \mathbf{r}_j \times \dot{\mathbf{r}}_j$$

$$= \frac{1}{2M} \sum_{j=1}^N \sum_{k=1}^N m_j m_k \mathbf{r}_{jk} \times \dot{\mathbf{r}}_{jk}$$

$$M = \sum_{j=1}^N m_j$$

- Mechanical angular momentum is conserved for a closed system, independent of internal physical processes.
- The most fundamental conservation principle in Celestial Mechanics.



Fundamental Concepts:

- Energy:

$$E = T + U$$

$$T = \frac{1}{2} \sum_{j=1}^N m_j \dot{\mathbf{r}}_j \cdot \dot{\mathbf{r}}_j$$

$$= \frac{1}{4M} \sum_{j=1}^N \sum_{k=1}^N m_j m_k \dot{\mathbf{r}}_{jk} \cdot \dot{\mathbf{r}}_{jk}$$

- Not necessarily conserved for a closed system
- Additional non-modeled physical effects internal to the system can lead to dissipation of energy (e.g., tidal forces, surface friction)
- Physically occurs whenever relative motion exists within a system
 - *motivates the study of relative equilibria*



Leads to a more precise question ...



Q: What are the minimum energy configurations for the Newtonian N -body problem at a fixed Angular Momentum?



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A: There are none for $N \geq 3$.

A surprising and untenable result – all mechanical systems should have a minimum energy state...



Sundman's Inequality

- To investigate this we start with Sundman's Inequality
 - Apply Cauchy's Inequality to the Angular Momentum

$$H^2 = \frac{1}{4M^2} \left| \sum_{j,k=1}^N m_j m_k \mathbf{r}_{jk} \times \dot{\mathbf{r}}_{jk} \right|^2 \leq \frac{1}{4M^2} \left(\sum_{j,k=1}^N m_j m_k r_{jk}^2 \right) \left(\sum_{j,k=1}^N m_j m_k \dot{r}_{jk}^2 \right) = 2IT$$

- Sundman's Inequality is:

$$H^2 \leq 2IT$$

$$I = \sum_{i=1}^N m_i r_i^2 = \frac{1}{2M} \sum_{j,k=1}^N m_j m_k r_{jk}^2$$

Polar Moment of Inertia



Minimum Energy Function and Relative Equilibrium



- Leads to a lower bound on the energy of an N -body system by defining the “minimum energy function” E_m (*also known as the Amended Potential*).

$$H^2 \leq 2IT$$

$$T = E - U$$

$$E_m(\mathbf{Q}) = \frac{H^2}{2I(\mathbf{Q})} + U(\mathbf{Q}) \leq E$$

$$\mathbf{Q} = \{\mathbf{r}_{ij} : i, j = 1, 2, \dots, N\}$$

- E_m is only a function of the relative configuration \mathbf{Q} of an N -body system
- Theorem: *Stationary values of E_m are relative equilibria of the N -body problem at a fixed value of angular momentum (Smale, Arnold)*
 - Equality occurs at relative equilibrium
 - Can be used to find central configurations and determine energetic stability



Example: Point Mass 2-Body Minimum Energy Configurations



- Point Mass 2-Body Problem: Minimum is a circular orbit

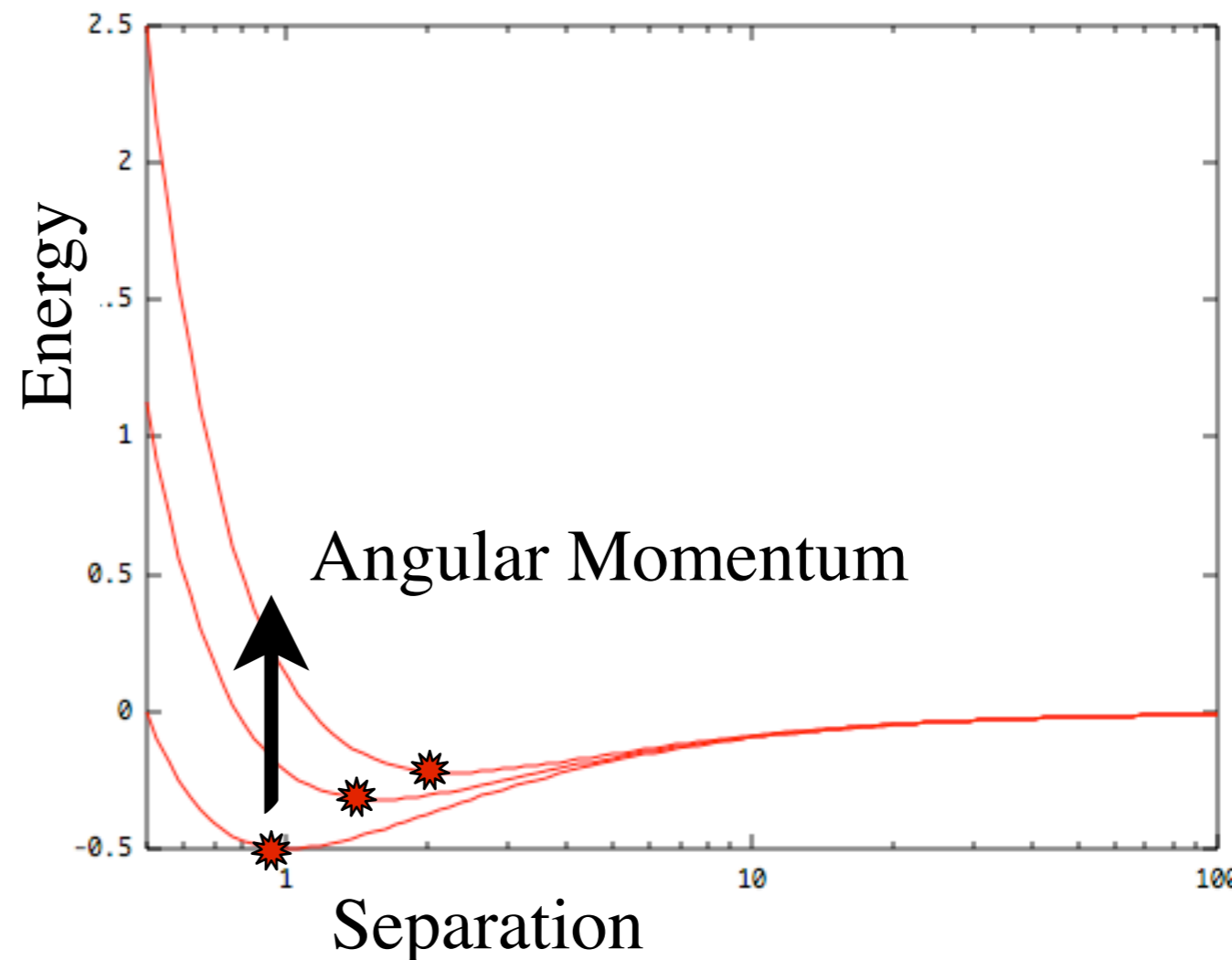
$$E_m = \frac{h^2}{2d^2} - \frac{1}{d}$$

$$\frac{\partial E_m}{\partial d} = -\frac{h^2}{d^3} + \frac{1}{d^2} = 0$$

$$d^* = h^2$$

$$E_m^* = -\frac{1}{2h^2}$$

$$\left. \frac{\partial^2 E_m}{\partial d^2} \right|_* = \frac{3h^2}{d^4} - \frac{2}{d^3} = \frac{1}{h^6} > 0$$





Point Mass N -Body Minimum Energy Configurations, $N \geq 3$



- Point Mass 3-Body Problem:
 - Relative equilibria occur at the Lagrange and Euler Solutions
 - Euler solutions are always unstable \neq minimum energy solutions
 - Lagrange solutions are never minimum energy solutions
- Point Mass N -Body Problem:
 - Central configurations are *never* minimum energy configurations, c.f. proof by R. Moeckel.
 - For any Point Mass $N \geq 3$ Problem, E_m can always $\rightarrow -\infty$ while maintaining a constant level of angular momentum

*For the Point Mass $N \geq 3$ Problem there are **no** non-singular minimum energy configurations*

... does our original question even make sense?



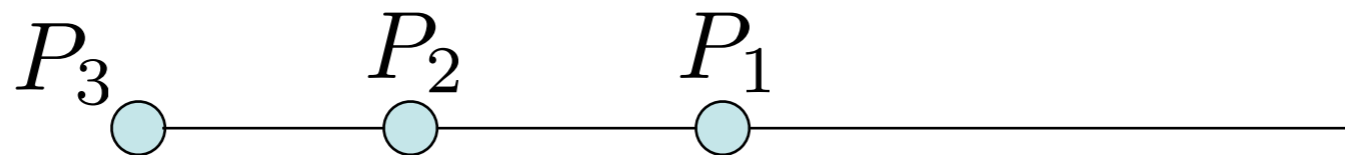
Non-Definite Minimum of the Energy Function for $N \geq 3$



- Consider the minimum energy function for $N=3$:

$$E_m = \frac{H^2}{\frac{m}{3} [d_{12}^2 + d_{23}^2 + d_{31}^2]} - Gm^2 \left[\frac{1}{d_{12}} + \frac{1}{d_{23}} + \frac{1}{d_{31}} \right]$$

- Choose the distance and velocity between P_1 and (P_2, P_3) to maintain a constant value of H .
- Choose a zero-relative velocity between (P_2, P_3) and let $d_{23} \rightarrow 0$, forcing $E_m \rightarrow -\infty$ while maintaining H .



- Under energy dissipation, there is no lower limit on the system-level energy until the limits of Newtonian physics are reached.



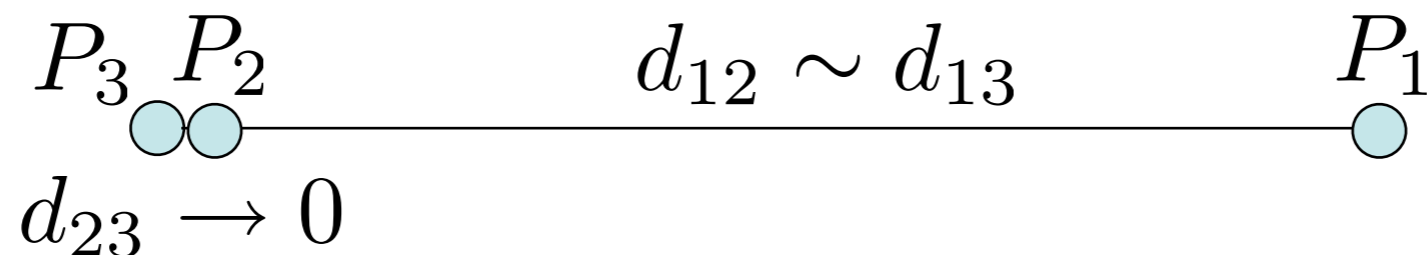
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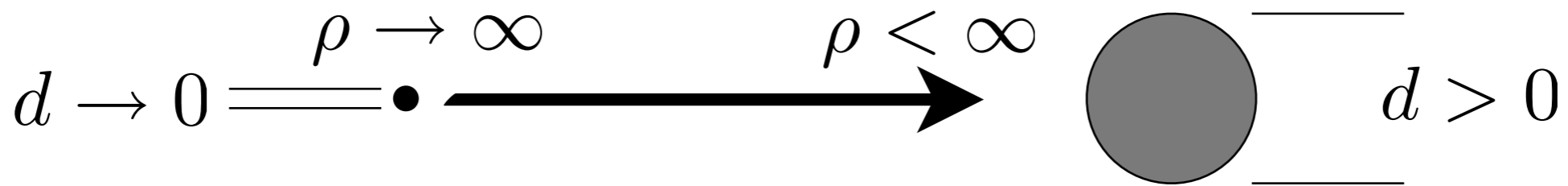


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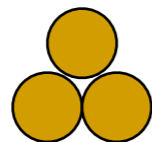


The Role of Density

- The lack of minimum energy configurations in the Point Mass N -body problem arises due to the infinite density of Point Masses
 - The resolution of this problem is simple and physically well motivated – *allow for finite density* – but has profound consequences:



- Bodies with a given mass must now have finite size, when in contact we assume they exert surface normal forces and frictional forces
- Moments of inertia, rotational angular momentum, rotational kinetic energy and mass distribution must now be tracked in I , H , T and U , even for spheres.
- For low enough angular momentum the minimum energy configurations of an N -body problem has them resting on each other and spinning at a constant rate





Finite Density (Full-Body) Considerations



- Energy, angular momentum and polar moment of inertia all generalize to the case of finite density, along with the Sundman Inequality (*Scheeres, CMDA 2002*):

$$E = T + U + \frac{1}{2} \sum_{j=1}^N \Omega_j \cdot \mathbf{I}_j \cdot \Omega_j$$
$$\mathbf{H} = \frac{1}{2M} \sum_{j,k=1}^N m_j m_k \mathbf{r}_{jk} \times \dot{\mathbf{r}}_{jk} + \sum_{j=1}^N \mathbf{I}_j \cdot \Omega_j$$
$$I = \frac{1}{2M} \sum_{j,k=1}^N m_j m_k r_{jk}^2 + \frac{1}{2} \text{Trace} \left(\sum_{j=1}^N \mathbf{I}_j \right)$$
$$H^2 \leq 2IT \qquad E_m = \frac{H^2}{2I} + U \leq E$$



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Modified Sundman Inequality



- A sharper version of the Sundman Inequality can be derived for finite body distributions (*Scheeres, CMDA 2012*):
 - Define the total Inertia Dyadic of the Finite Density N -Body Problem:

$$\mathbf{I} = \sum_{i=1}^N \left[m_i \left(r_i^2 \mathbf{U} - \mathbf{r}_i \mathbf{r}_i \right) + A_i \cdot \mathbf{I}_i \cdot A_i^T \right]$$

- Define the angular momentum unit vector $\hat{\mathbf{H}}$

$$I_H = \hat{\mathbf{H}} \cdot \mathbf{I} \cdot \hat{\mathbf{H}}$$

- The modified Sundman Inequality is sharper and defines an updated Minimum Energy Function

$$H^2 \leq 2I_H T \leq 2IT \qquad E_m \leq \mathcal{E}_m = \frac{H^2}{2I_H} + U \leq E$$



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Minimum Energy Configurations



- Theorem: *For finite density distributions, all N -body problems have minimum energy configurations.*
- Proof (*Scheeres, CMDA 2012*):
 - Stationary values of \mathcal{E}_m are relative equilibria, and include (for finite densities) resting configurations.
 - For a finite value of angular momentum H , the function \mathcal{E}_m is compact and bounded.
 - By the Extreme Value Theorem, the minimum energy function \mathcal{E}_m has a Global Minimum.
- Resolves the problem associated with minimum energy configurations of the Newtonian (Point Mass) N -Body Problem.



... back to the original question



- **Question:** What is the Minimum Energy configuration of a finite density N -Body System at a specified value of Angular Momentum?
- **Answer:** The Minimum Value of \mathcal{E}_m across all stationary configurations, both *resting* and *orbital*.

$$\mathcal{E}_m(\mathbf{Q}_F) = \frac{H^2}{2I(\mathbf{Q}_F)} + U(\mathbf{Q}_F) \leq E$$

$$\mathbf{Q}_F = \{ \mathbf{r}_{ij} \mid r_{ij} \geq (d_i + d_j)/2, i, j = 1, 2, \dots, N \}$$

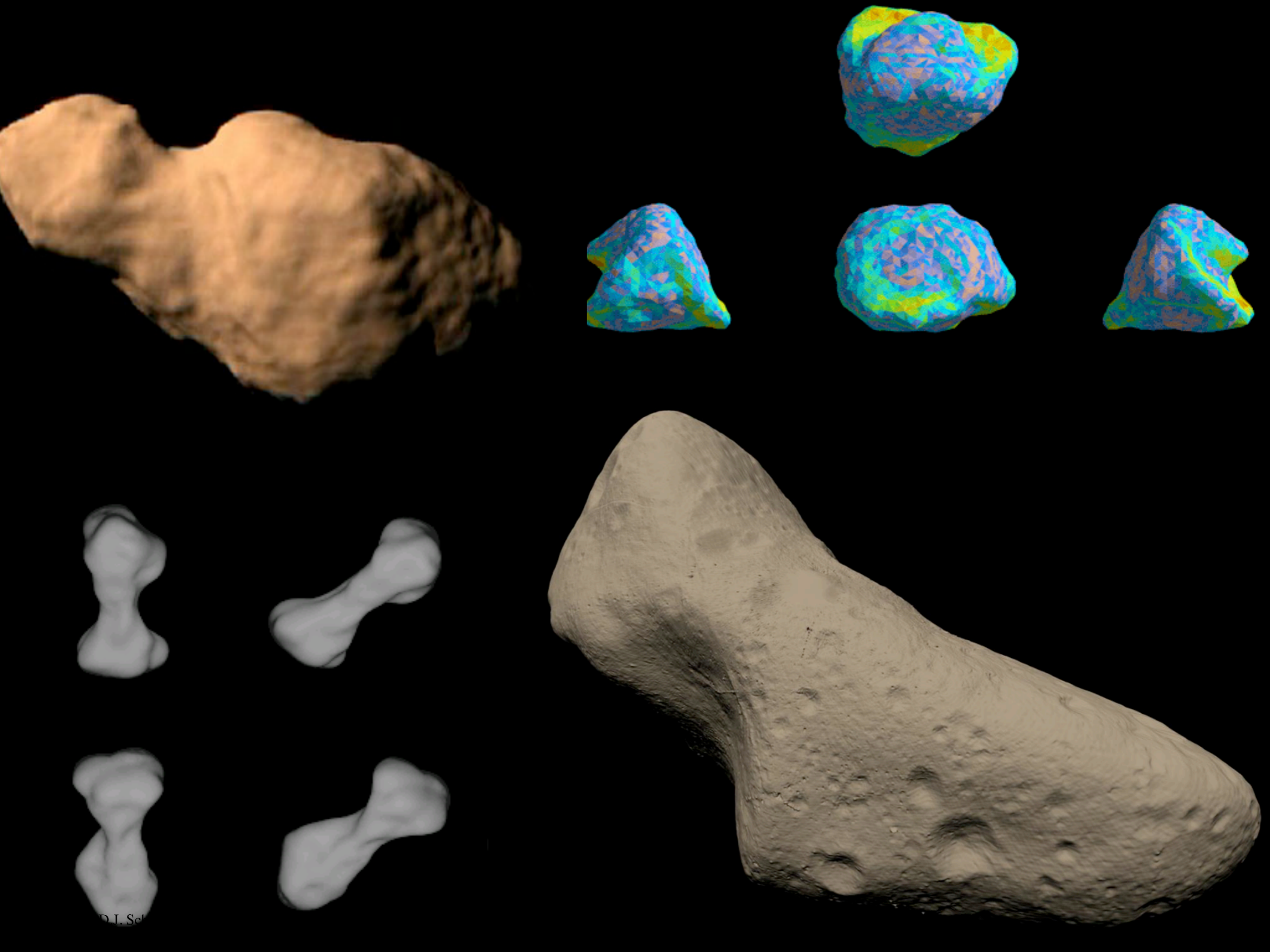
Relative Equilibrium

$$\delta \mathcal{E} = \frac{\partial \mathcal{E}}{\partial \mathbf{Q}} \cdot \delta \mathbf{Q} \geq 0$$

\forall Admissible $\delta \mathbf{Q}$

Stability

$$\delta^2 \mathcal{E} = \delta \mathbf{Q} \cdot \frac{\partial^2 \mathcal{E}}{\partial \mathbf{Q}^2} \cdot \delta \mathbf{Q} > 0$$



D. I. Sch



Minimum Energy Configurations of the *Spherical Full Body Problem*



- For definiteness, consider the simplest change from point mass to finite spheres (then U is unchanged)
 - For a collection of N spheres of diameter d_i the only change in \mathcal{E}_m is to I_H

$$I_H = \frac{1}{10} \sum_{i=1}^N m_i d_i^2 + \sum_{i=1}^N m_i r_i^2$$

- But this dramatically changes the structure of the minimum energy configurations... take the 2-body problem for example with equal size spheres, normalized to unity radius

$$E_m = \frac{h^2}{2d^2} - \frac{1}{d} \quad \text{versus} \quad \mathcal{E}_m = \frac{h^2}{2(0.4 + d^2)} - \frac{1}{d}$$



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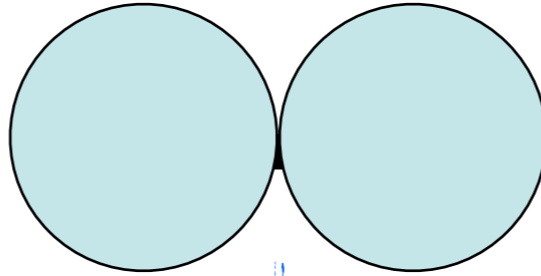
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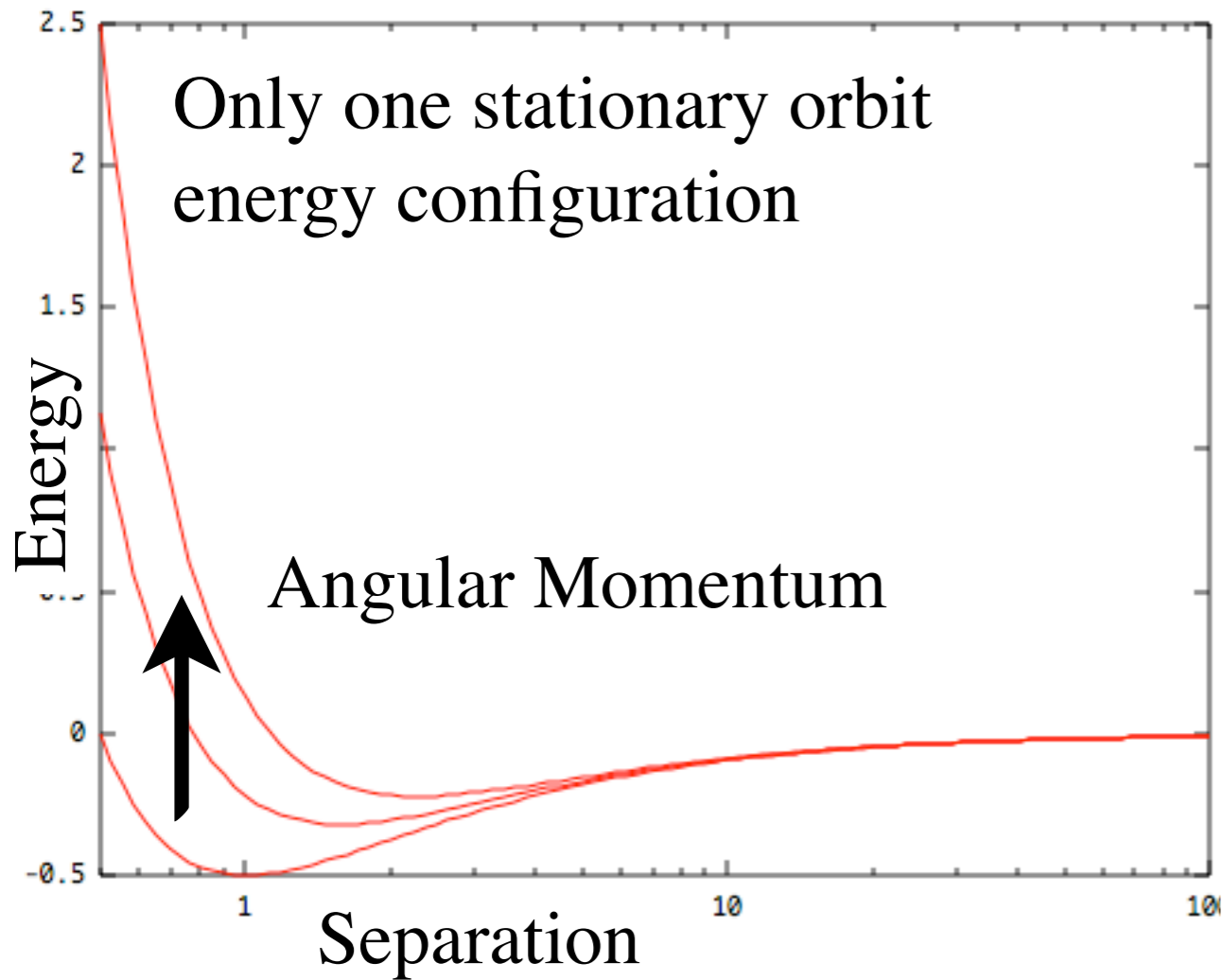
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2-Body Problem

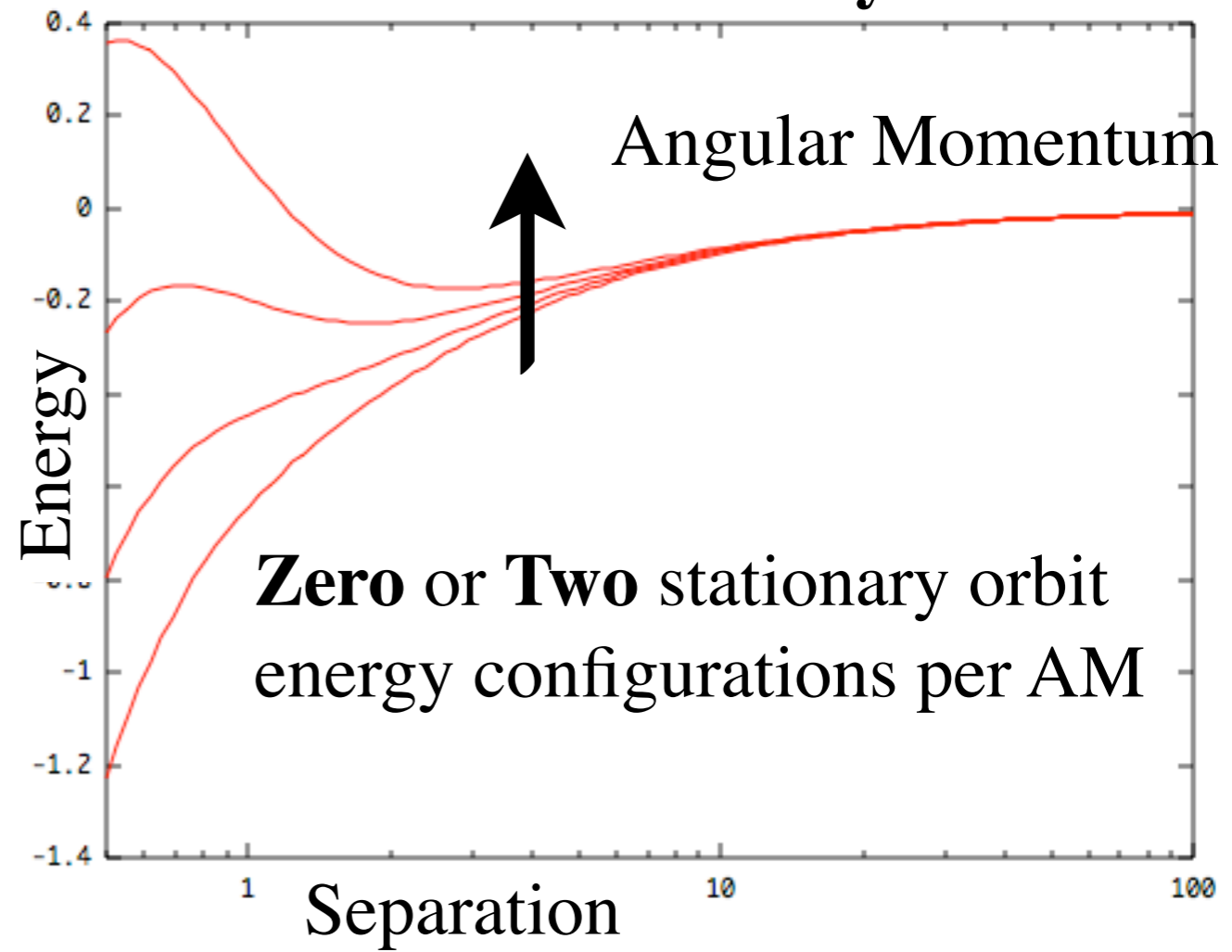


Point Mass Case



$$E_m = \frac{h^2}{2d^2} - \frac{1}{d}$$

Finite Density Case



$$\mathcal{E}_m = \frac{h^2}{2(0.4 + d^2)} - \frac{1}{d}$$

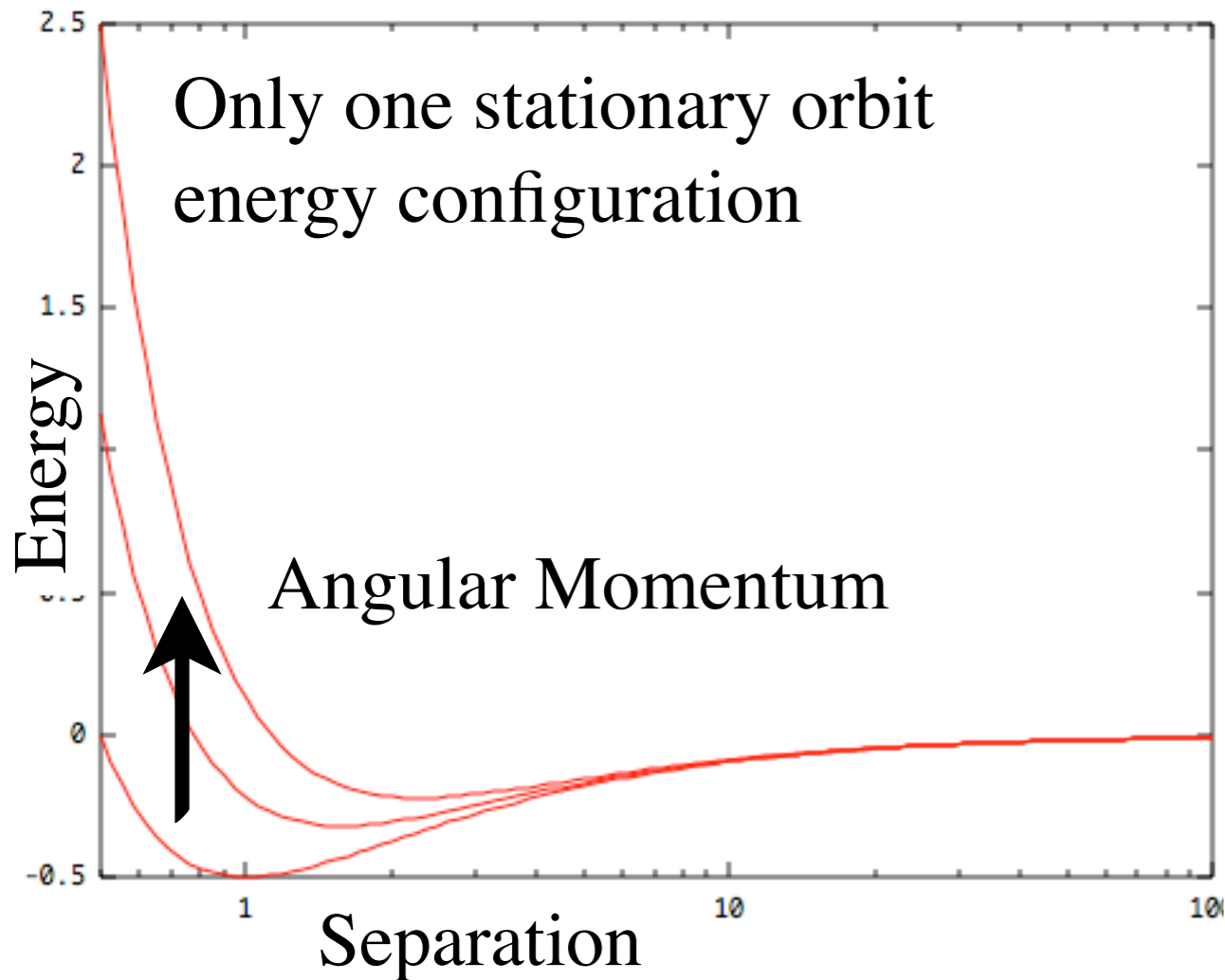


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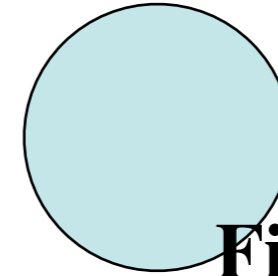


Separation

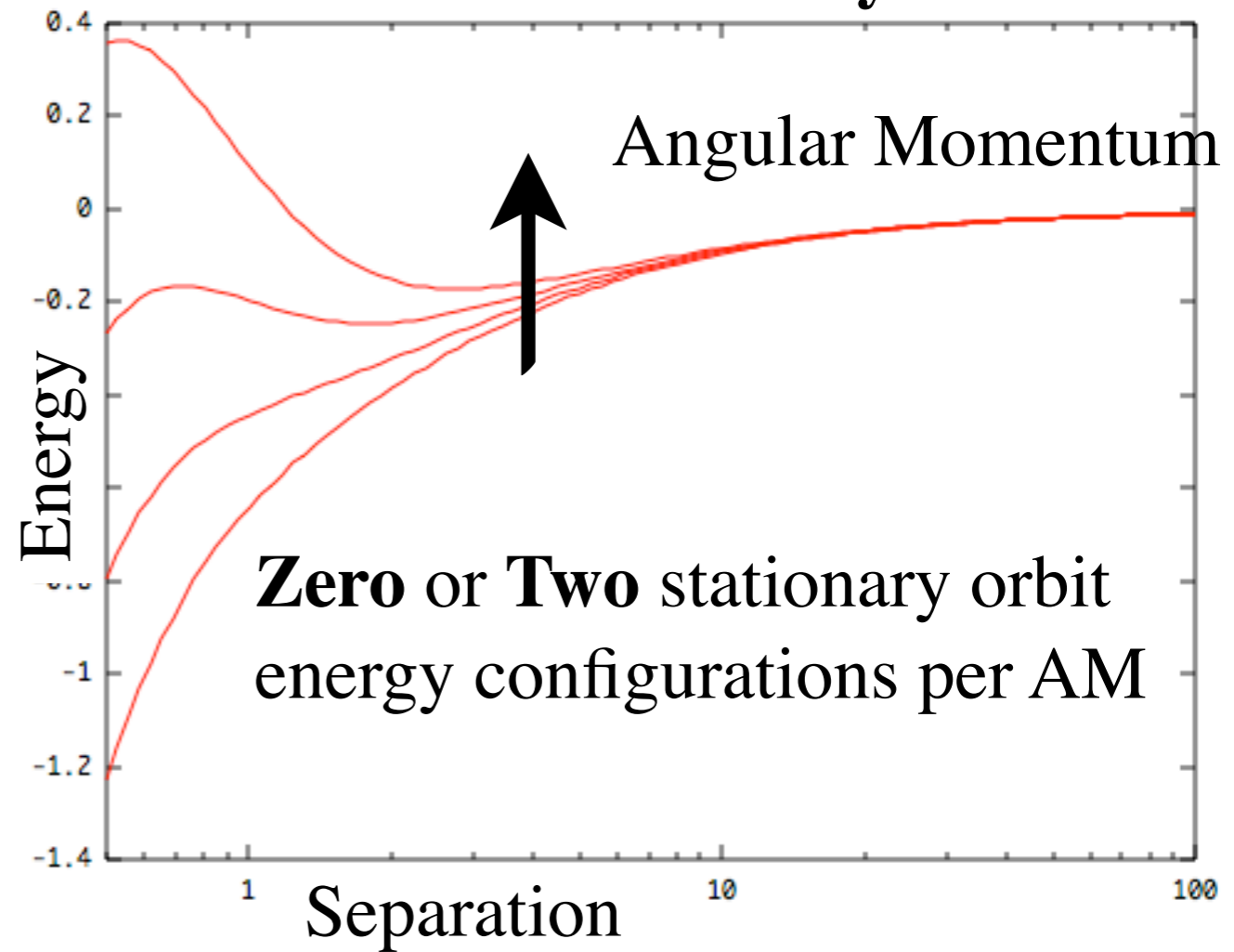
Point Mass Case



$$E_m = \frac{h^2}{2d^2} - \frac{1}{d}$$



Finite Density Case



$$\mathcal{E}_m = \frac{h^2}{2(0.4 + d^2)} - \frac{1}{d}$$



Reconfiguration and Fission



- As a system's AM is increased, there are two possible types of transitions between minimum energy states:
 - Reconfigurations, dynamically change the resting locations
 - Fissions, resting configurations split and enter orbit about each other

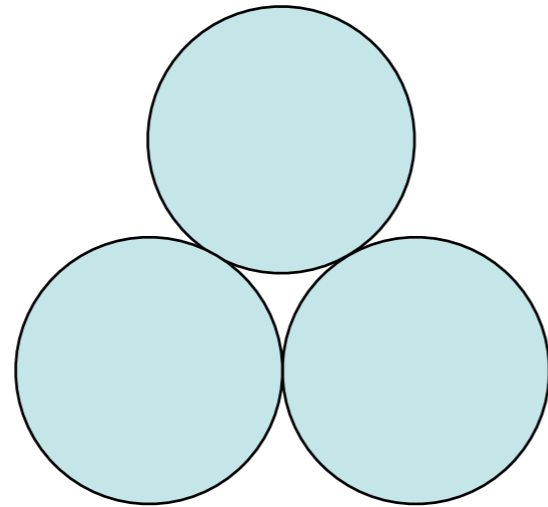
Reconfiguration: Occurs once the relative resting configuration becomes unstable.

For the 3BP occurs at a rotation rate beyond the Lagrange solution

Multiple resting configurations can exist at one angular momentum.
Resting and orbital stable configurations can exist at one angular momentum.



Reconfiguration and Fission



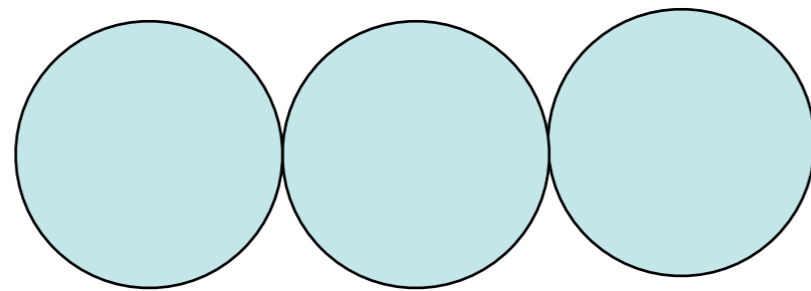
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Reconfiguration and Fission



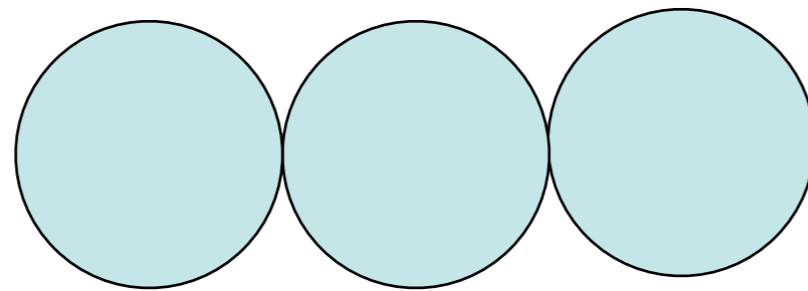
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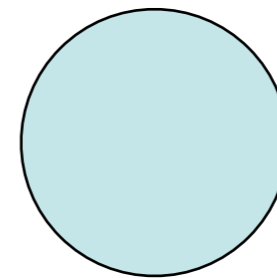
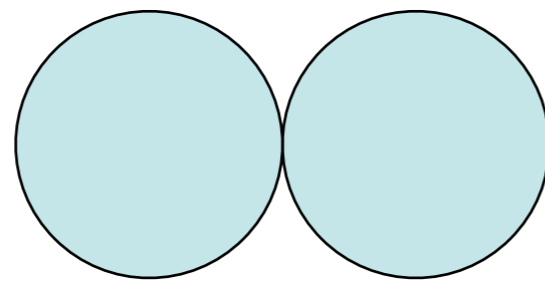
Fission: Occurs once the relative resting configuration becomes unstable.

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Multiple resting configurations can exist at one angular momentum.
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Reconfiguration and Fission



Reconfiguration: Occurs once the relative resting configuration becomes unstable.

For the 3BP occurs at a rotation rate beyond the Lagrange solution

Fission: Occurs once the relative resting configuration becomes unstable.

For the 3BP occurs at a rotation rate beyond the Euler solution

Multiple resting configurations can exist at one angular momentum.
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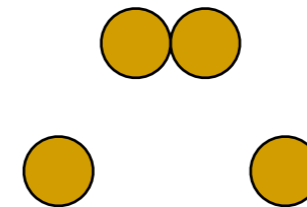


Internal Degrees of Freedom for Spherical Grains



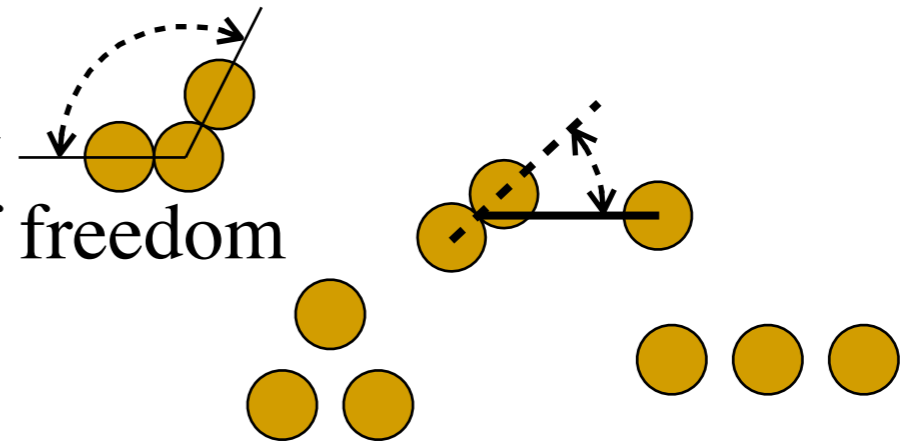
- 2-Body Results:

- Contact case has 0 degrees of freedom
- Orbit case has 1 degree of freedom



- 3-Body Results

- Contact case has 1 degree of freedom
- Contact + Orbit case has 2 degrees of freedom
- Know all of the orbit configurations



- 4-Body Results

- Contact case has 2 degrees of freedom, multiple topologies
- Many more possible Orbit + Contact configurations
- 3-dimensional configurations
- Don't even know precisely how many orbit configurations exist...
but they are all energetically unstable (Moeckel)!

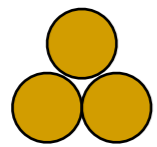
All minimum energy states can be uniquely identified in the finite density 3 Body Problem

Static & Variable
Resting Configurations

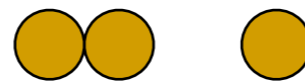
Mixed
Configurations

Orbiting
Configurations

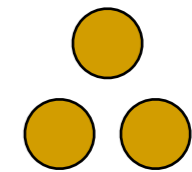
Minimum Energy Configurations



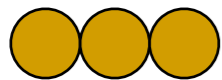
Lagrange Resting



Aligned Mixed



Lagrange Orbiting



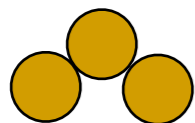
Euler Resting



Transverse Mixed

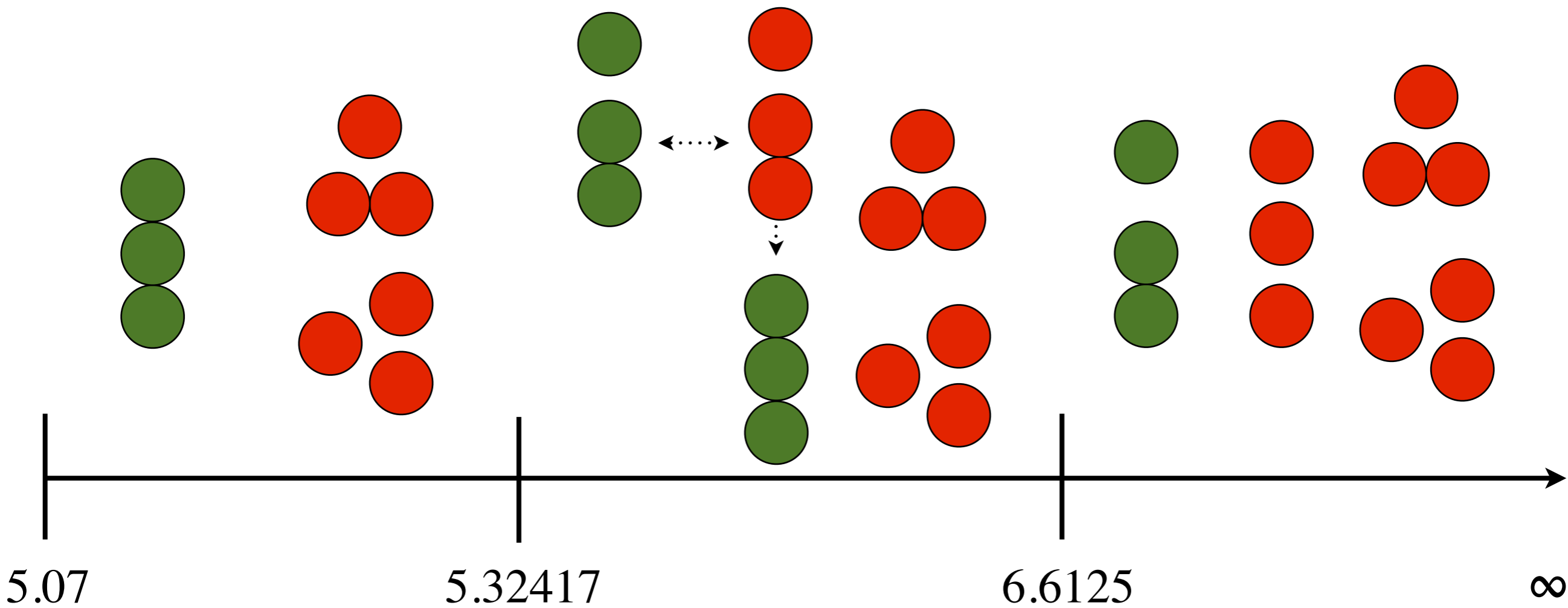
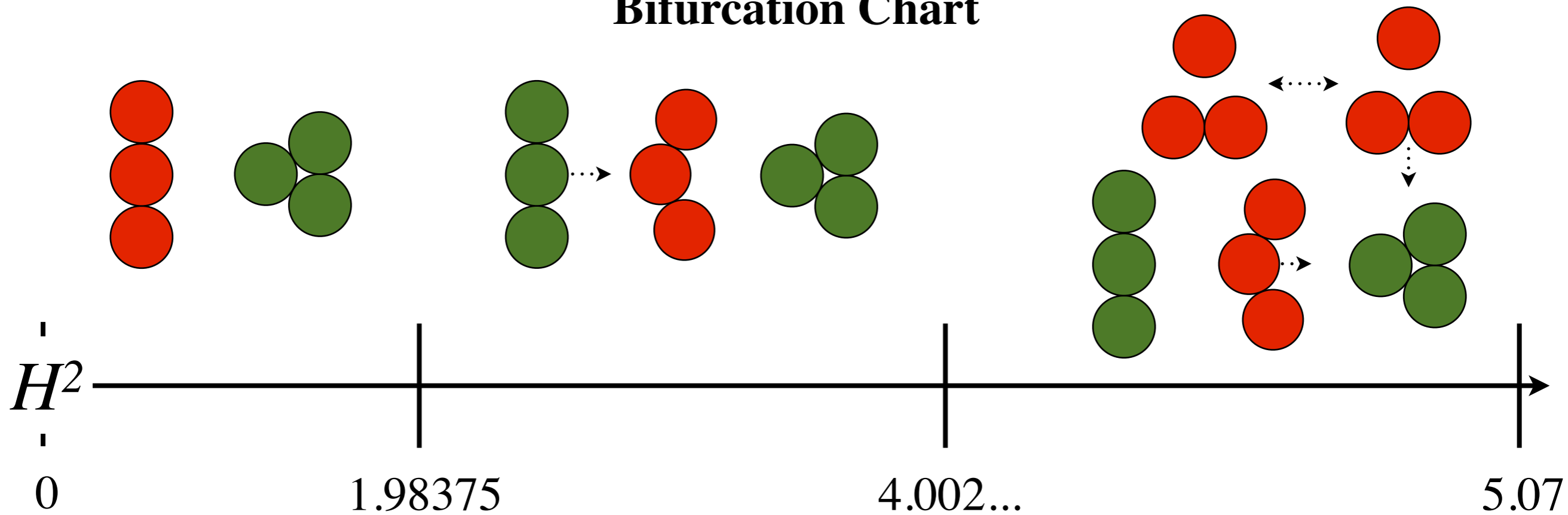


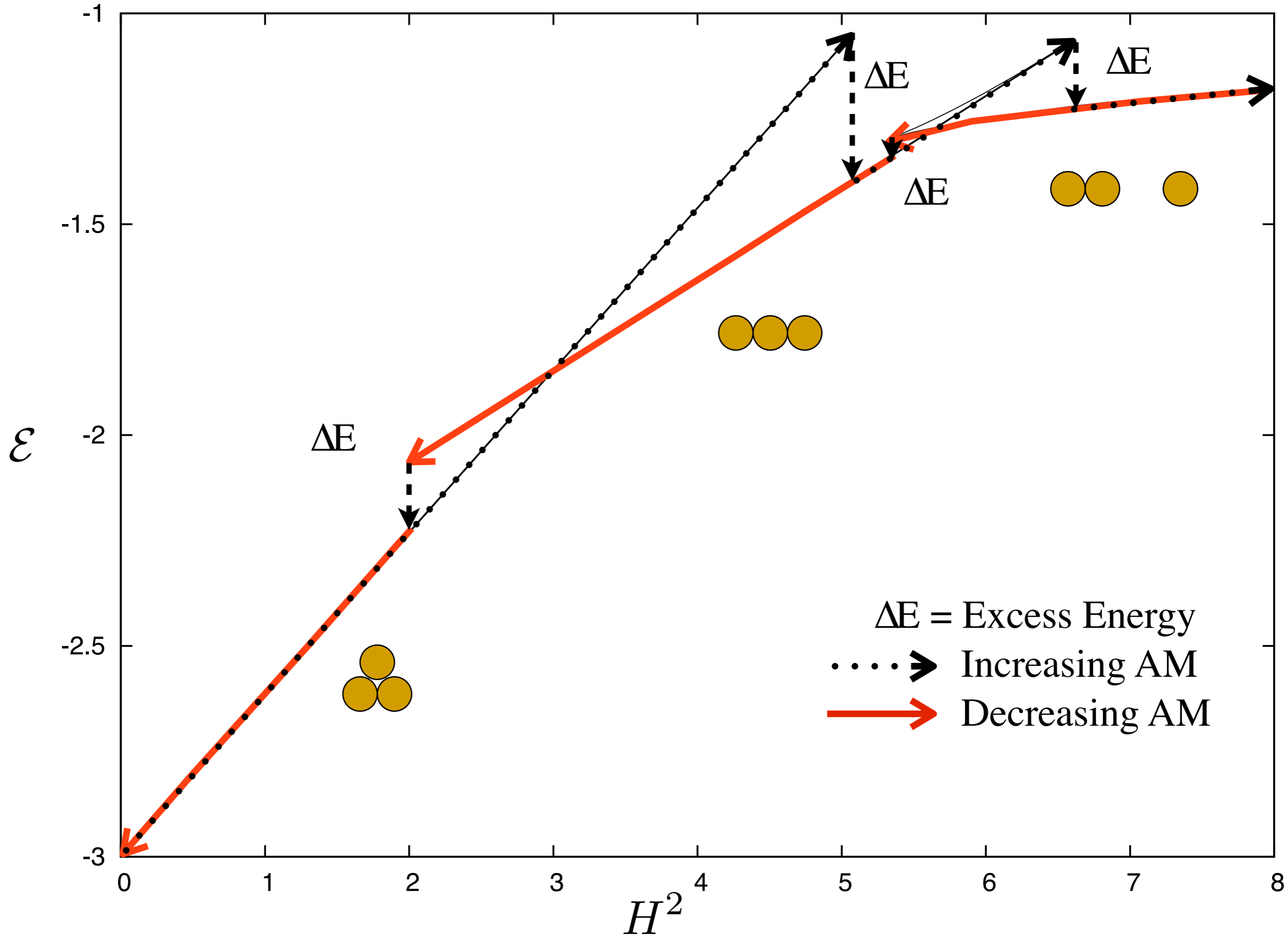
Euler Orbiting



V Resting

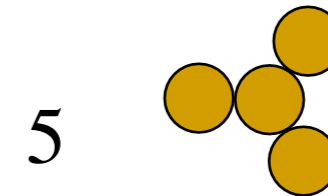
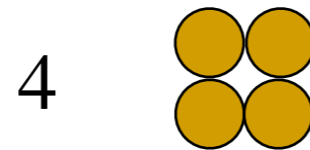
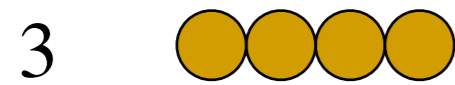
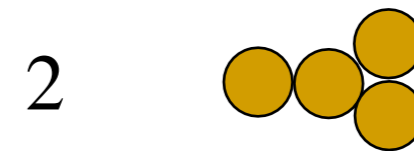
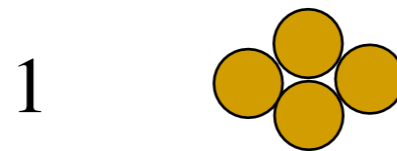
Bifurcation Chart



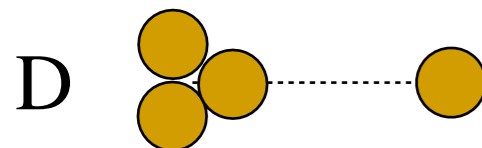
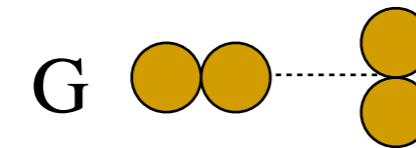
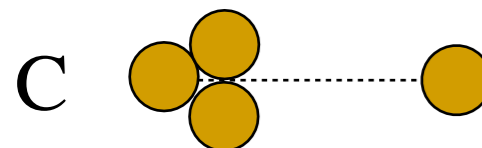
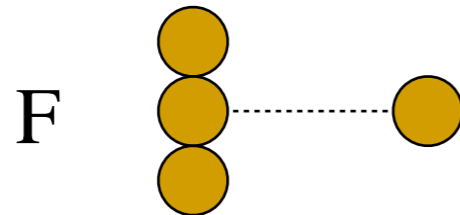
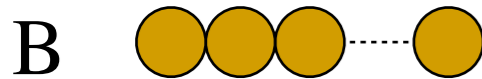
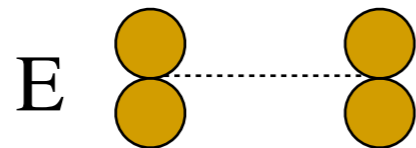
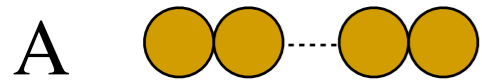


Finite Density 4 Body Problem

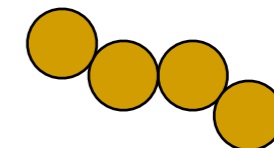
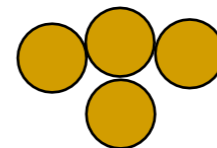
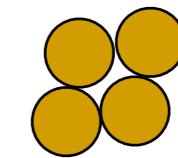
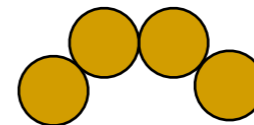
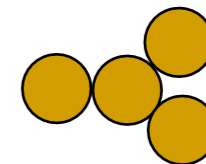
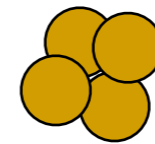
Static Resting Equilibrium Configurations

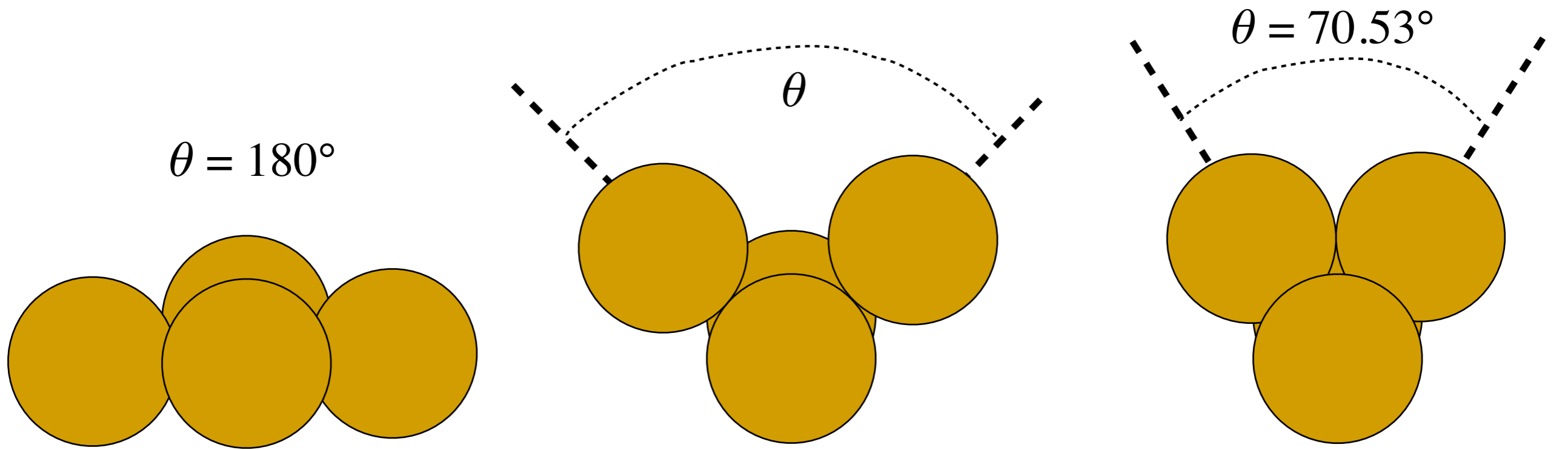


Mixed Equilibrium Configurations

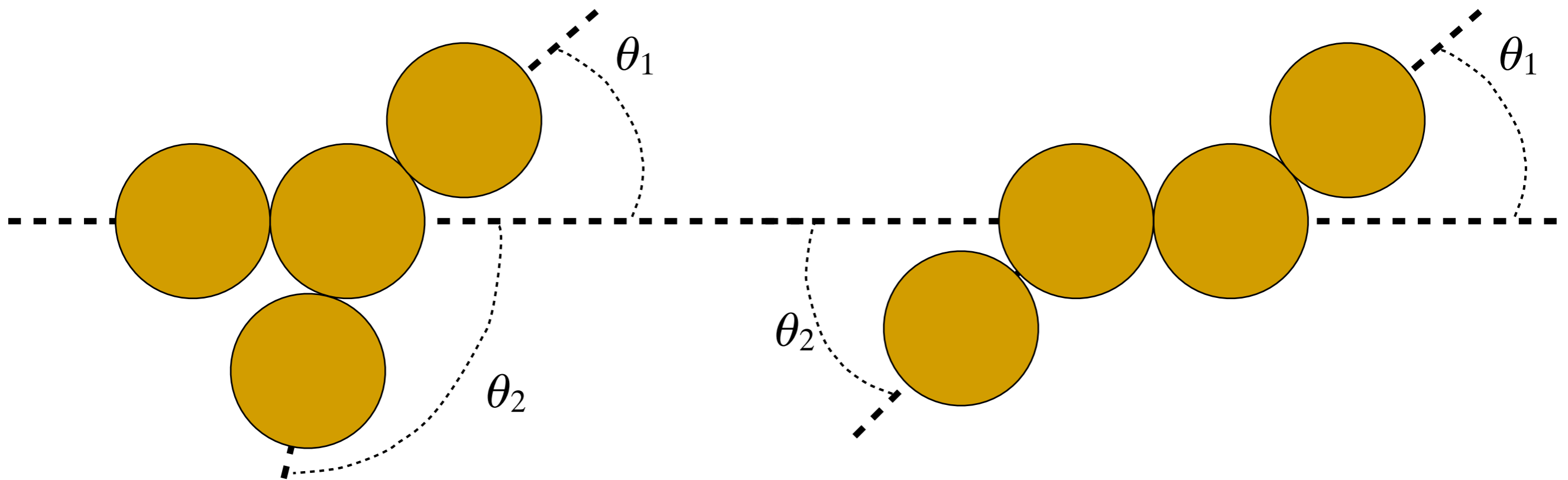


Variable Resting Equilibrium Configurations



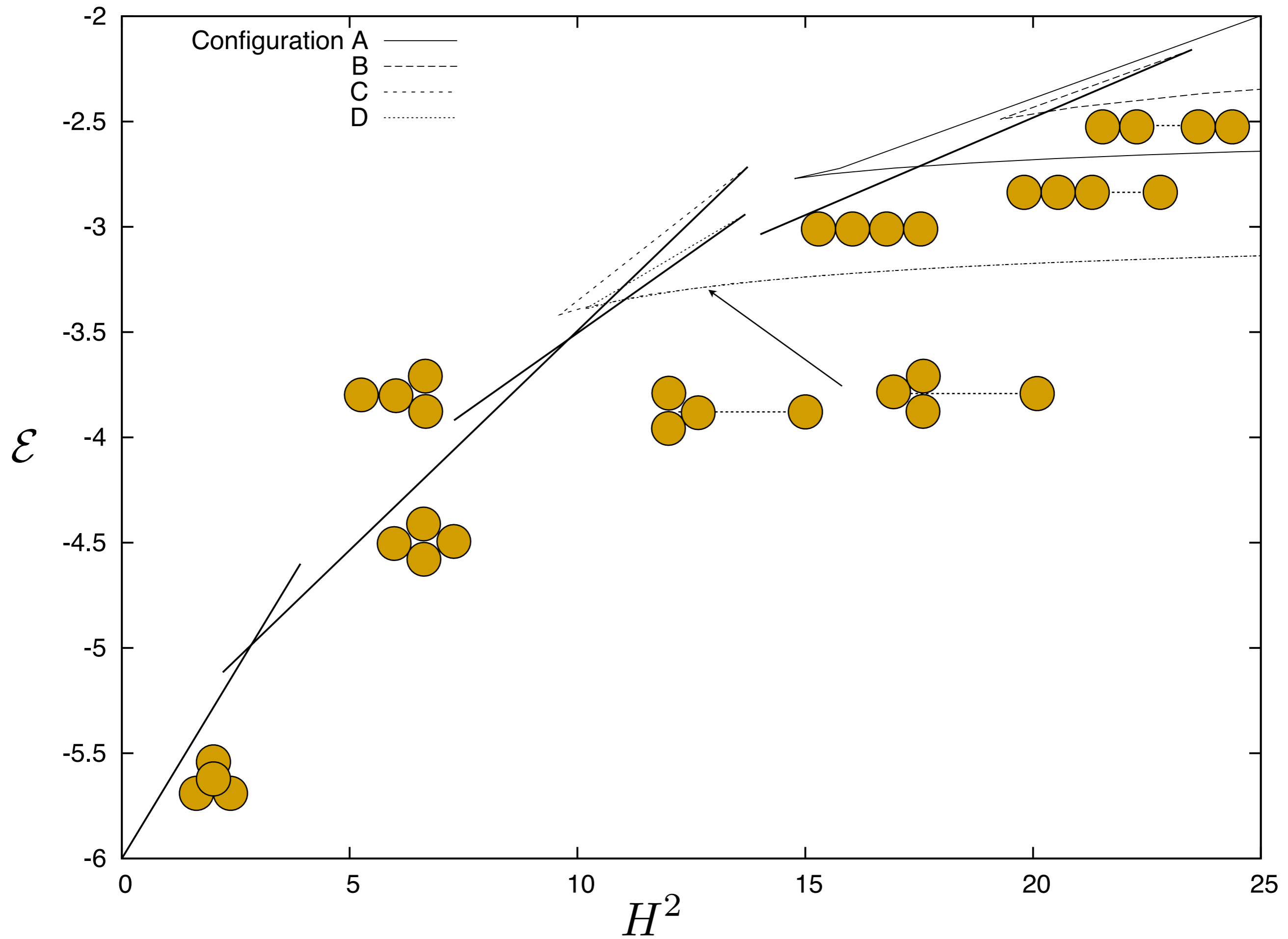


Static Rest Configurations 0 and 1

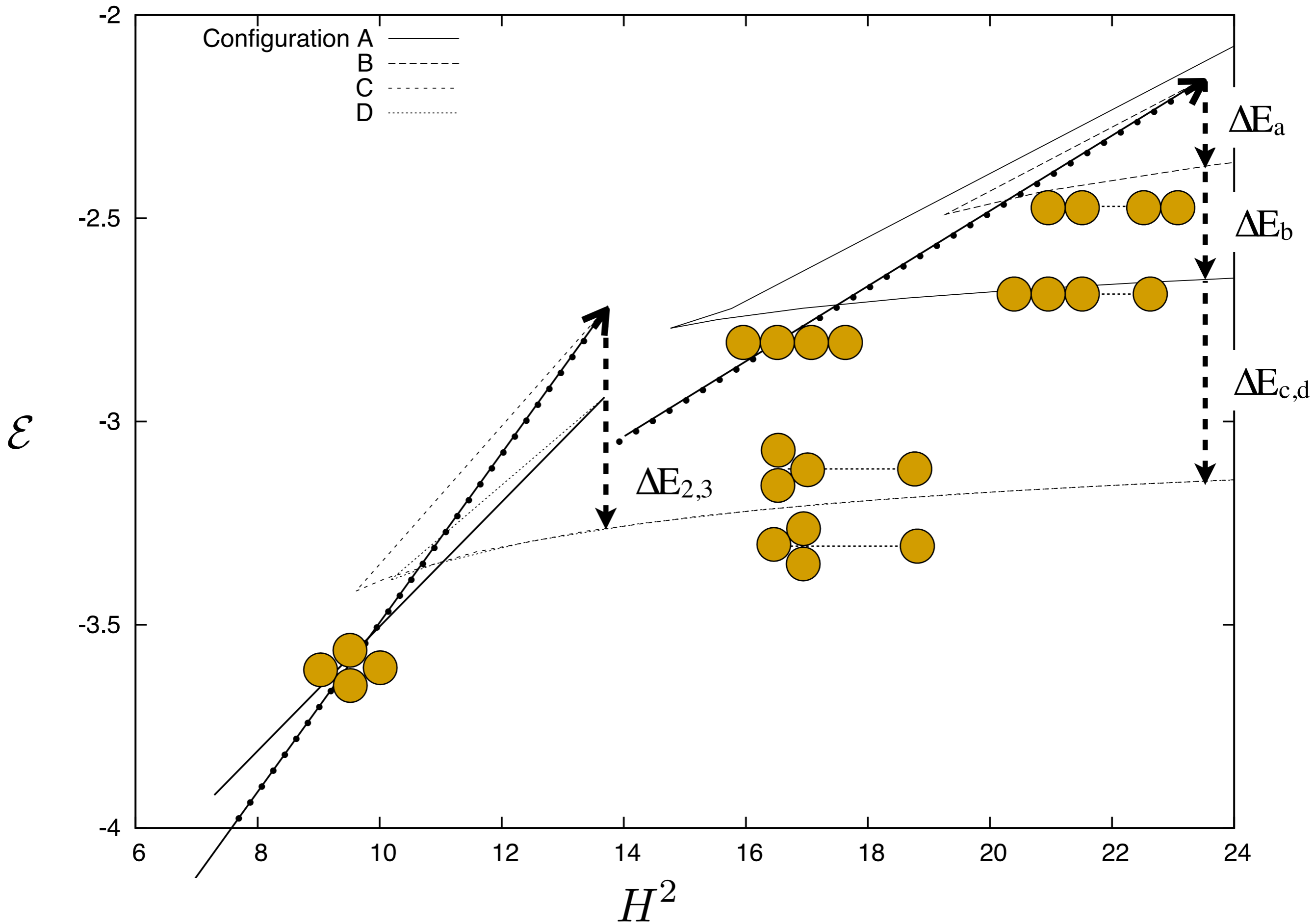


Static Rest Configurations 1, 2, 5

Static Rest Configurations 1, 3, 4



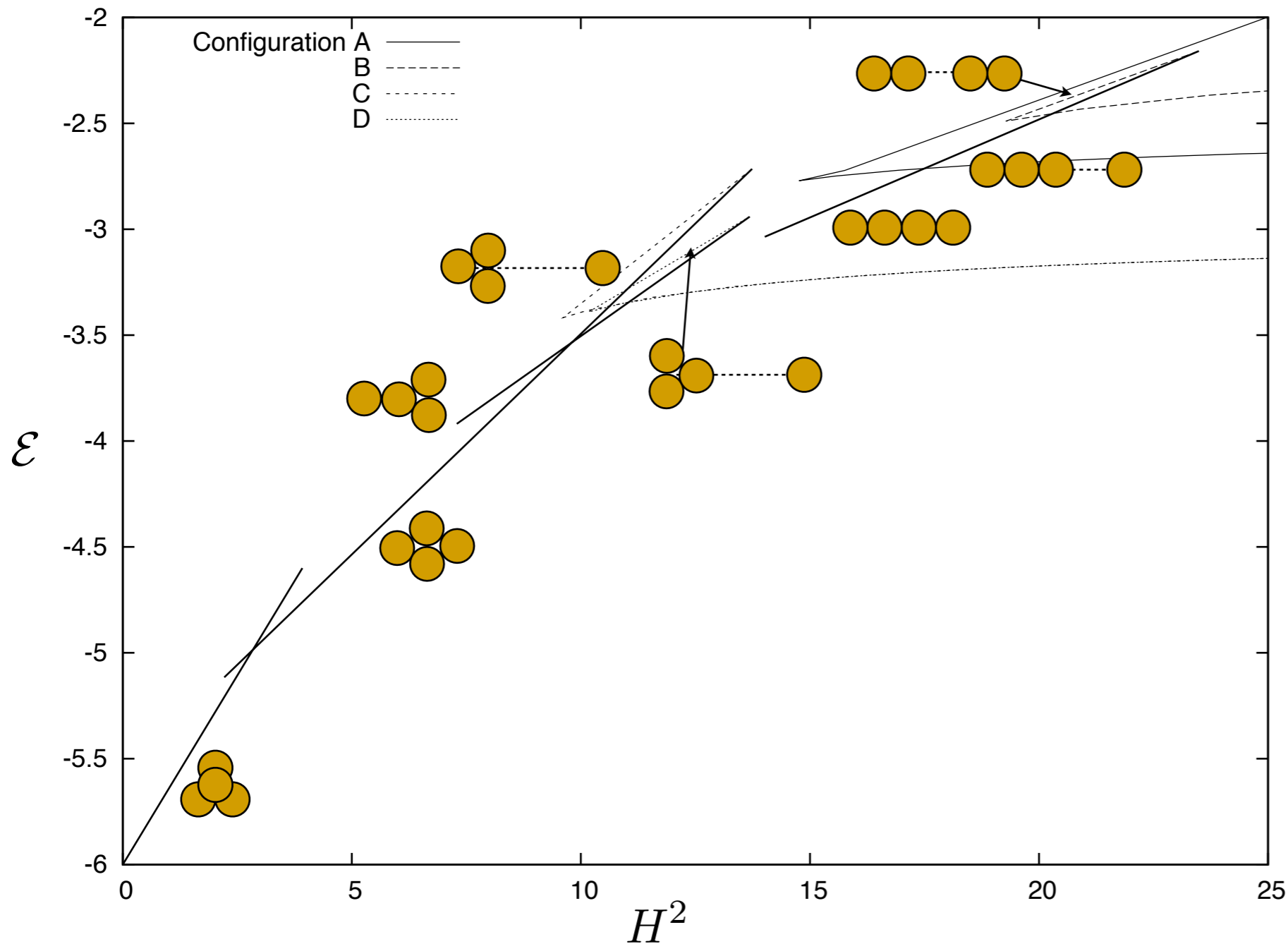
Detail





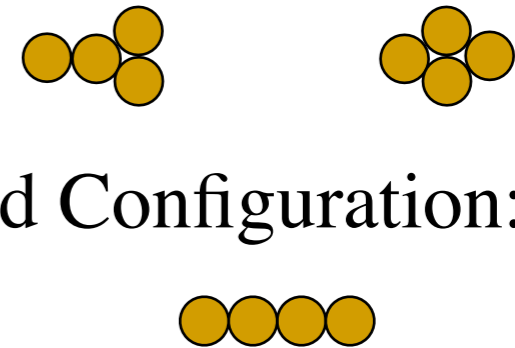
Observations

- Evolution Regimes split into two distinct sets:



An “Angular Momentum Gap” exists between the fission of Configurations:

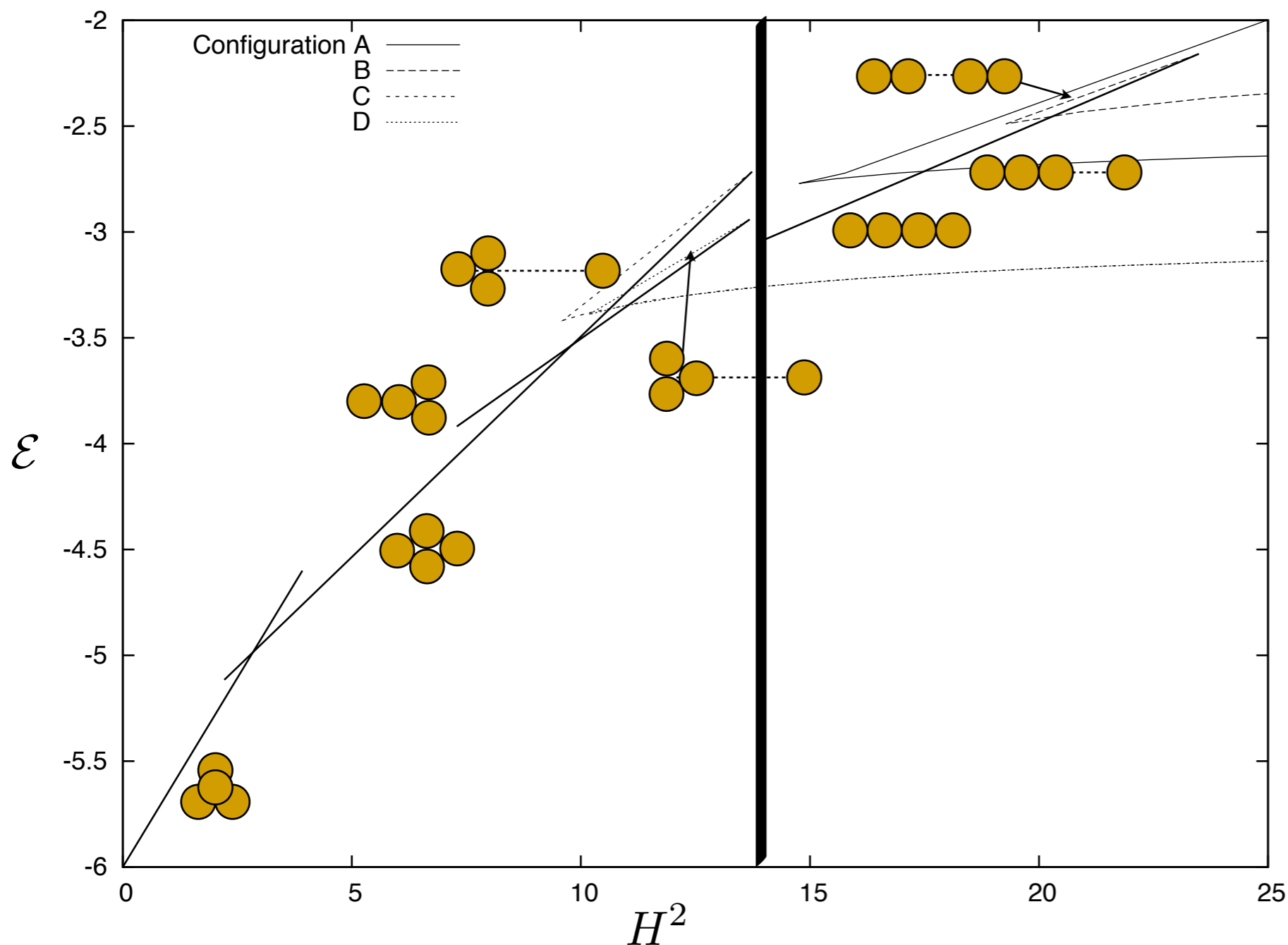
and Configuration:





Observations

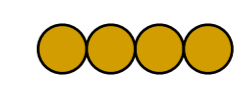
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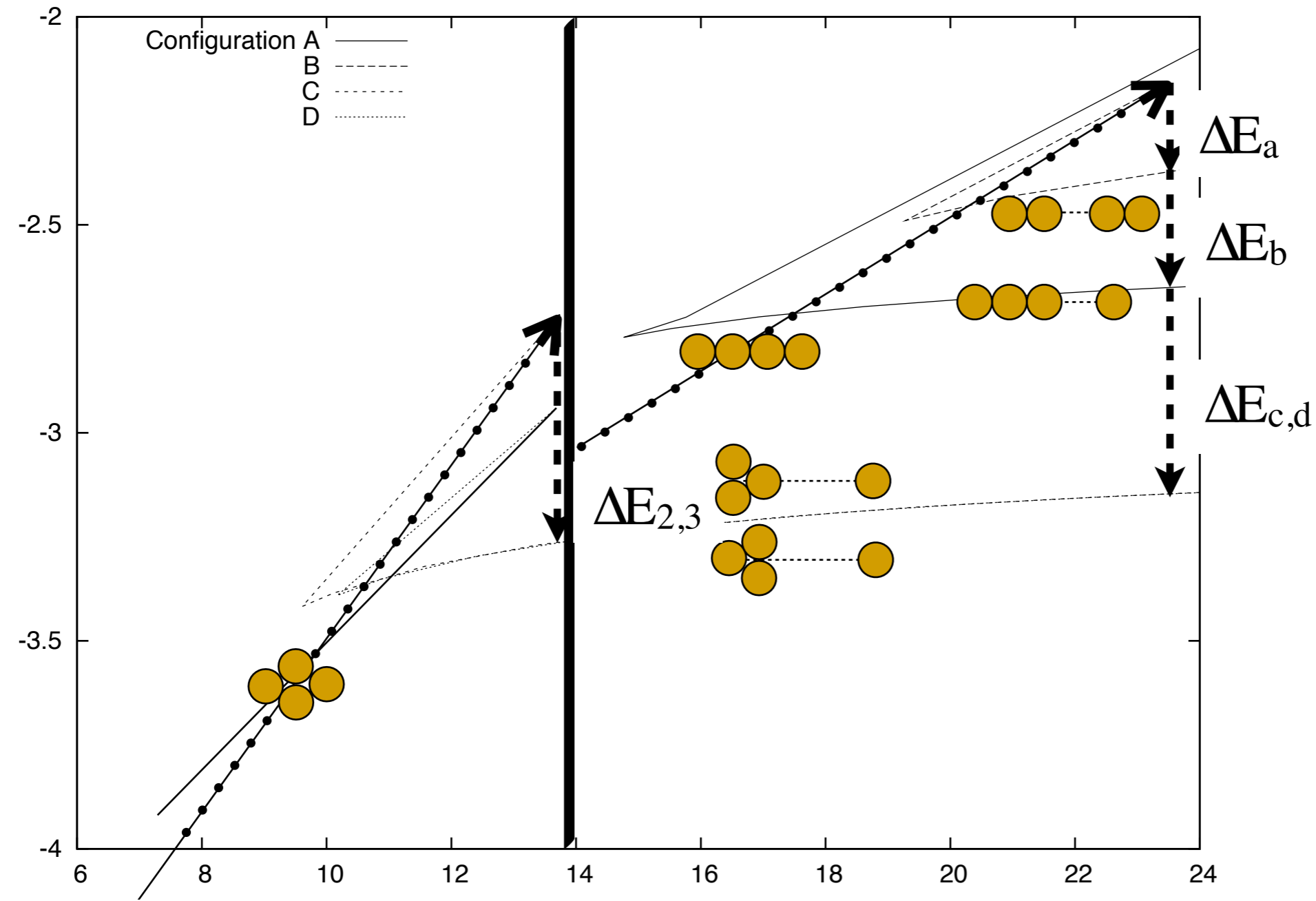
and Configuration:





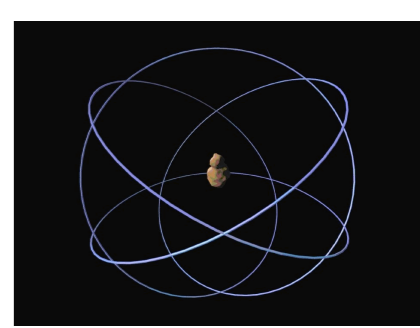
Observations

- Especially Relevant for Cohesionless Systems... separates



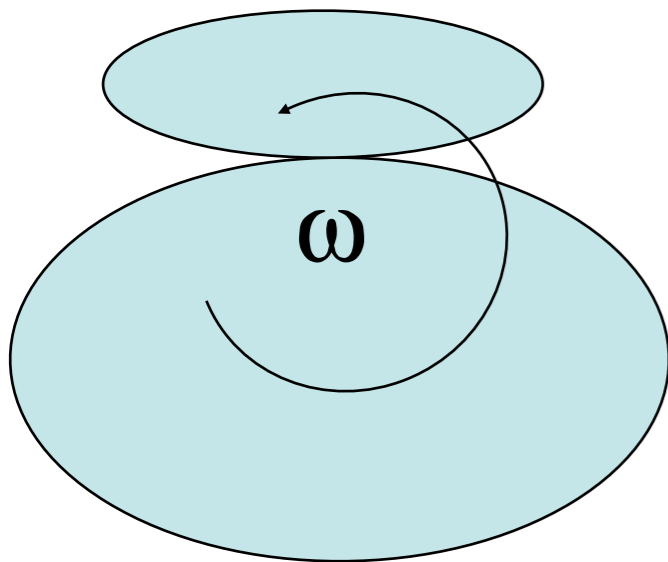


Generalization to Non-Spherical Bodies

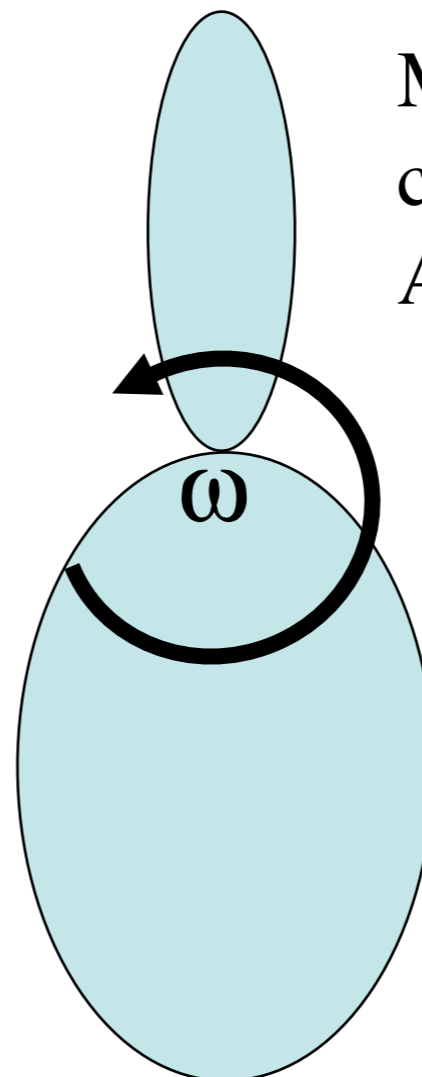


The theory of minimum energy configurations can be extended to arbitrary finite density shapes, e.g. an equal density ellipsoid/ellipsoid system

Minimum energy configuration for small Angular Momentum



Minimum energy configuration for large Angular Momentum



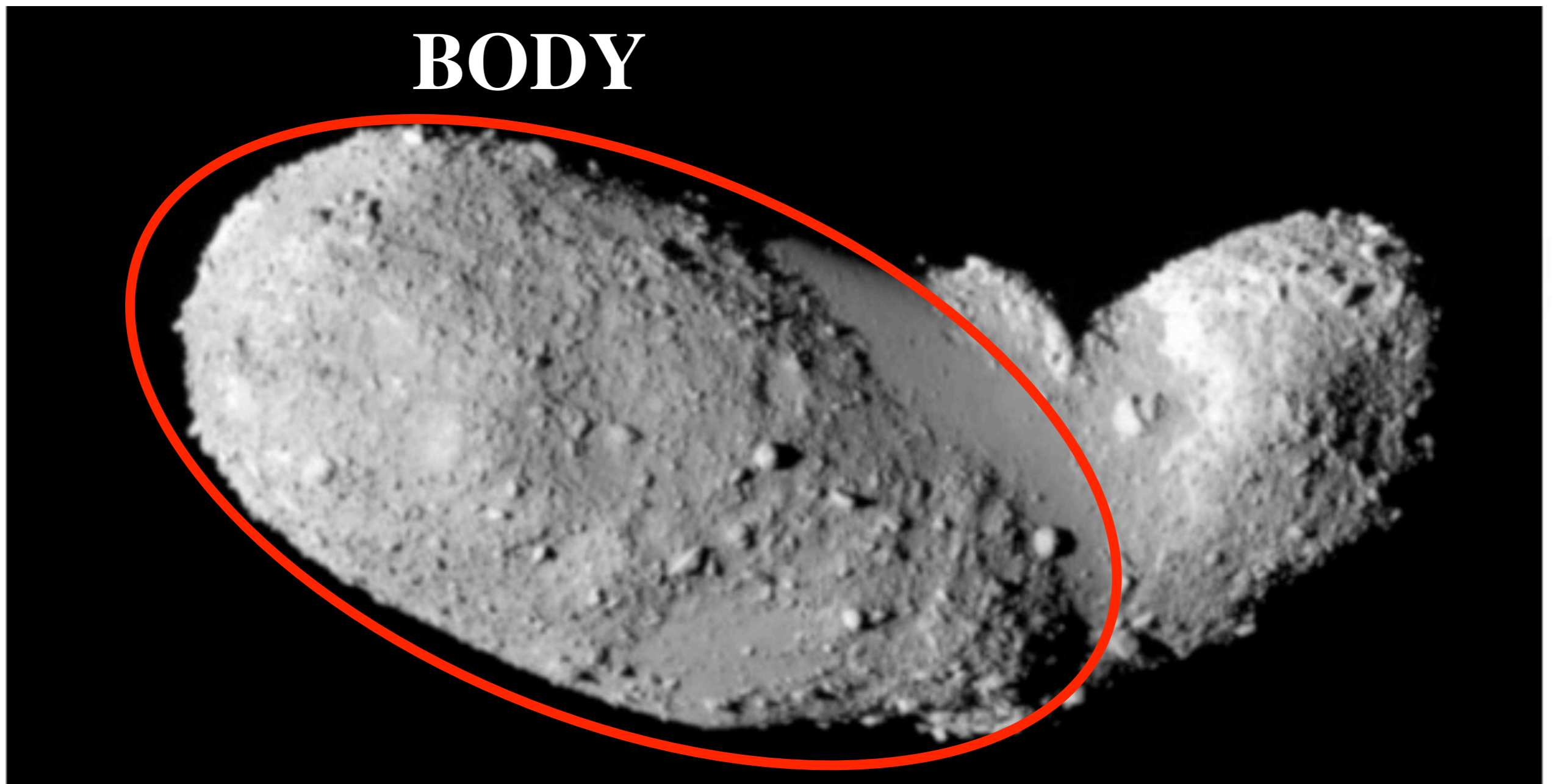
Asteroid Itokawa's peculiar mass distribution may “fission” when its rotation period < 6 hours – spin period can change due to the “YORP Effect”, slowly changes total angular momentum...

Body = 490 x 310 x 260 *meters* **Head** = 230 x 200 x 180 *meters*



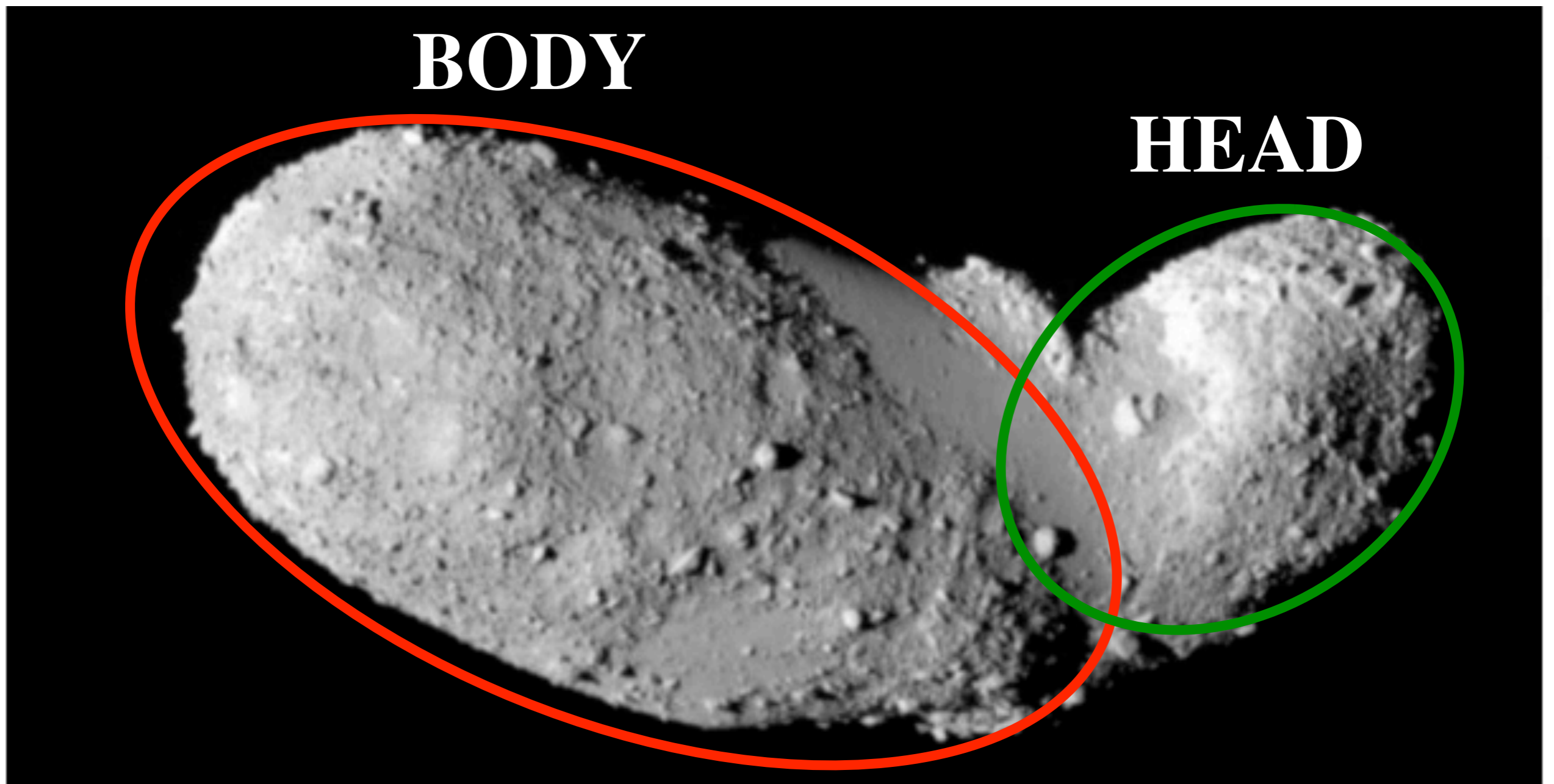
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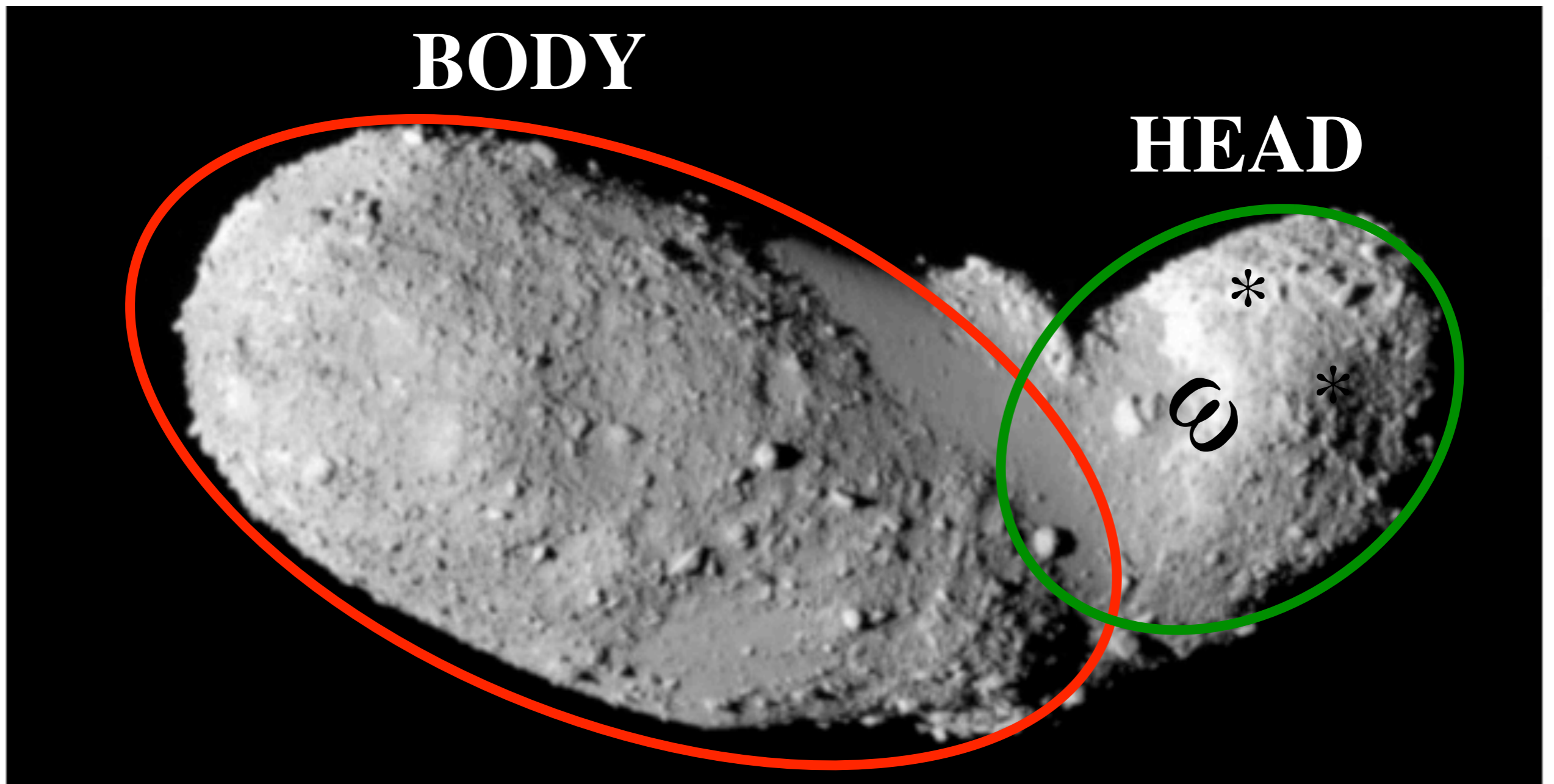
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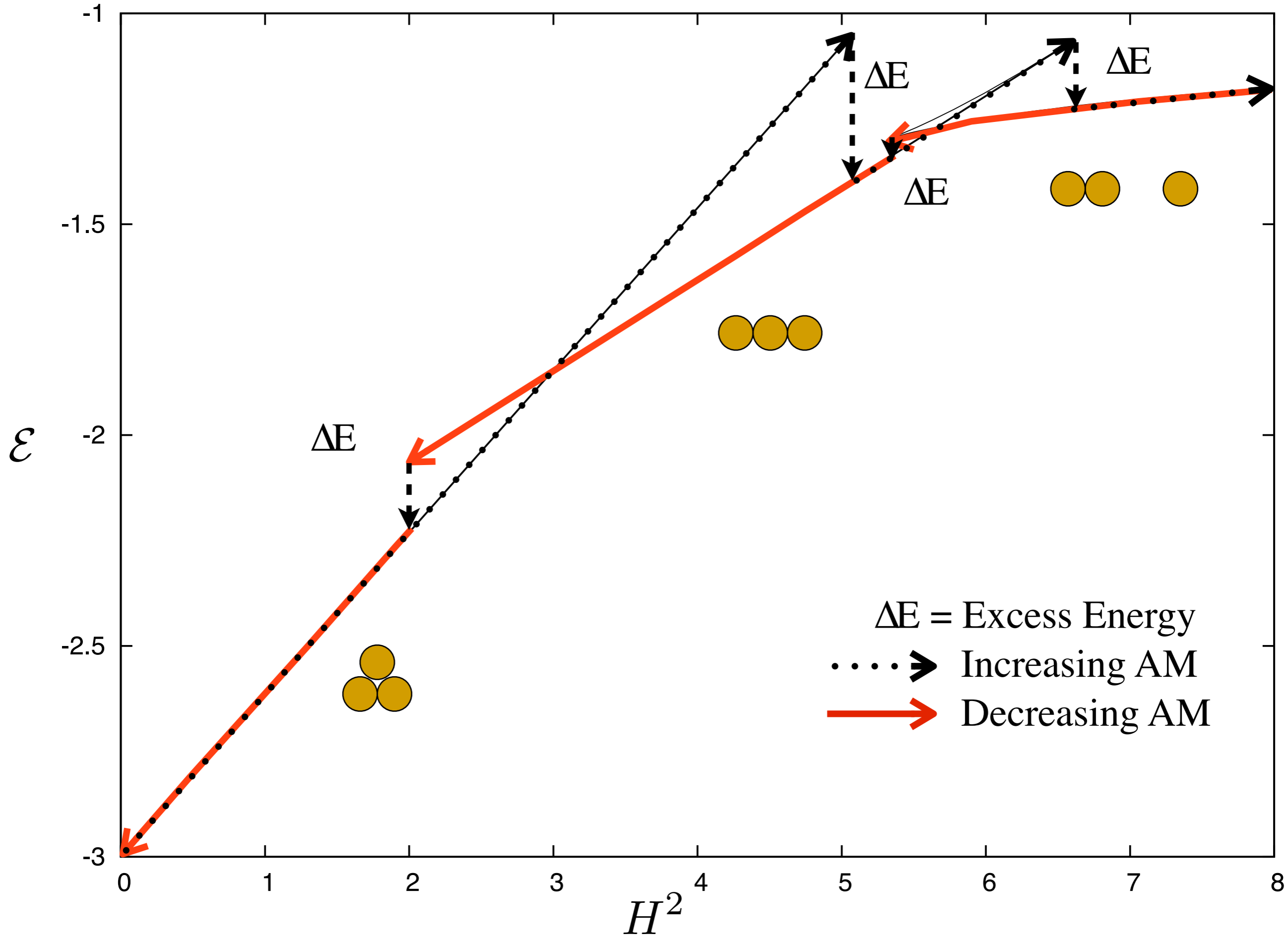
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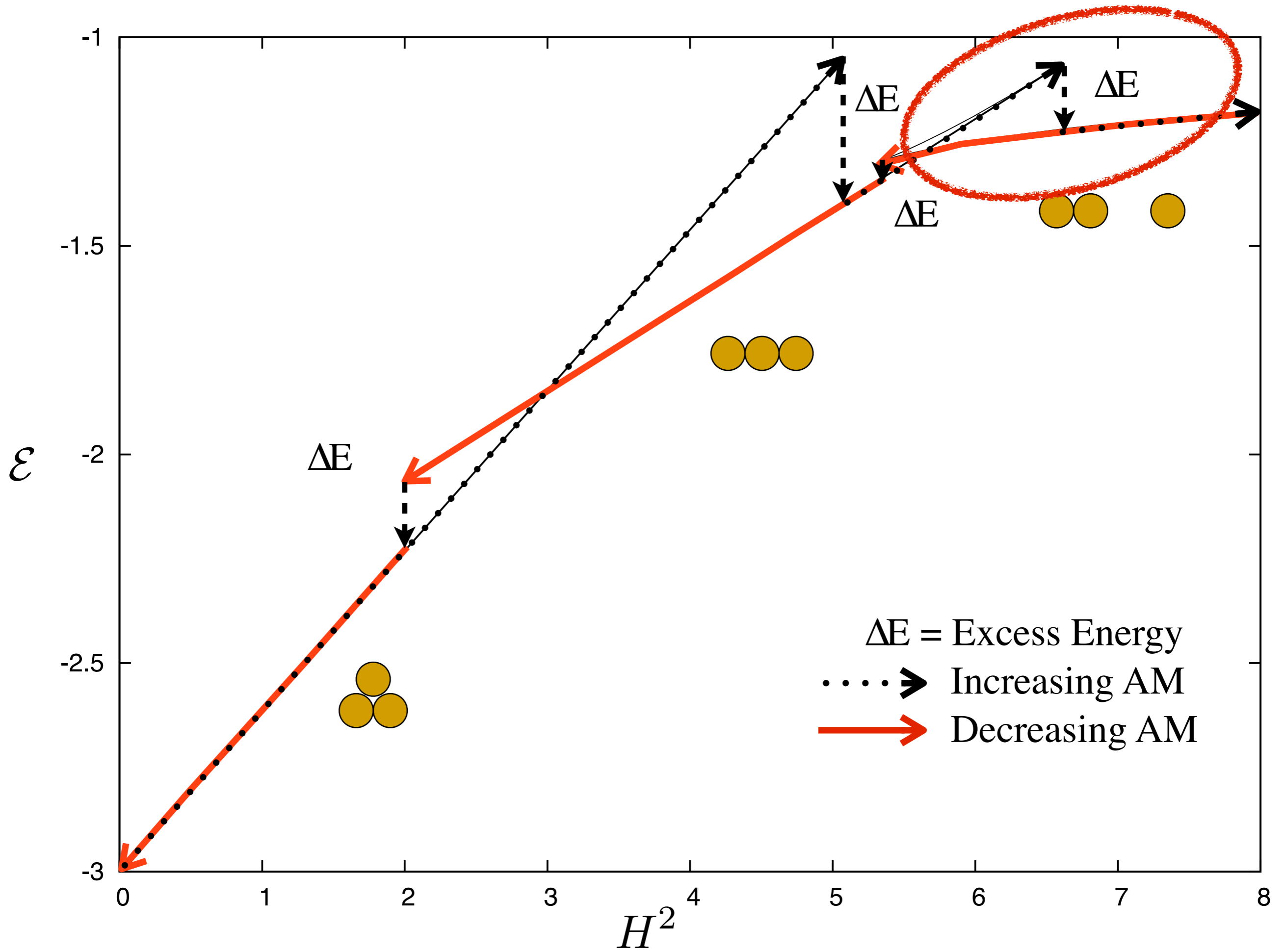


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Reconfigurations and Fission Events



- When a Local Minimum reaches its reconfiguration or fission state it cannot directly enter a different minimum energy state
 - Excess energy ensures a period of dynamics where dissipation may occur

Local Minimum Energy Fission Configuration

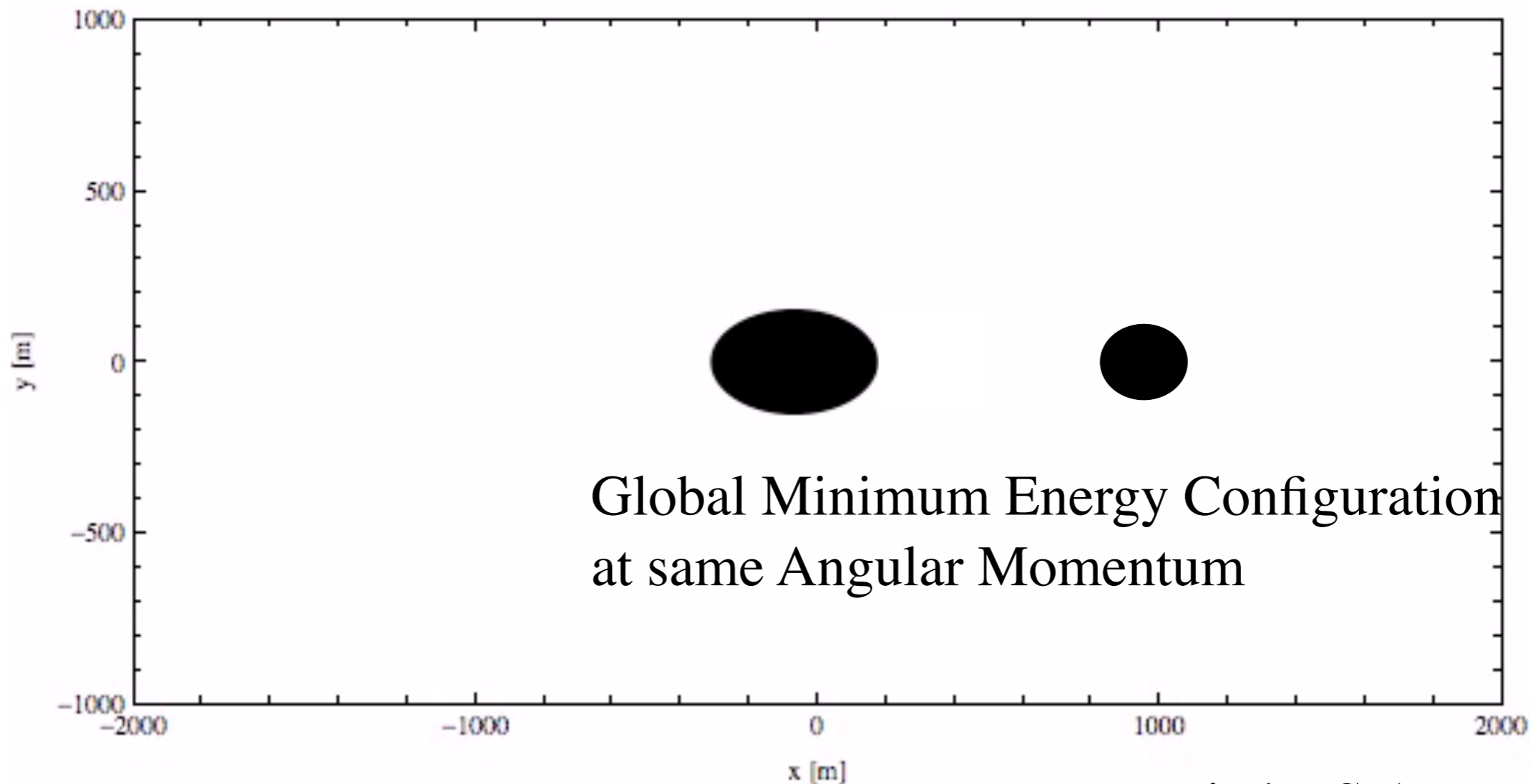
Movie by S.A. Jacobson



Reconfigurations and Fission Events



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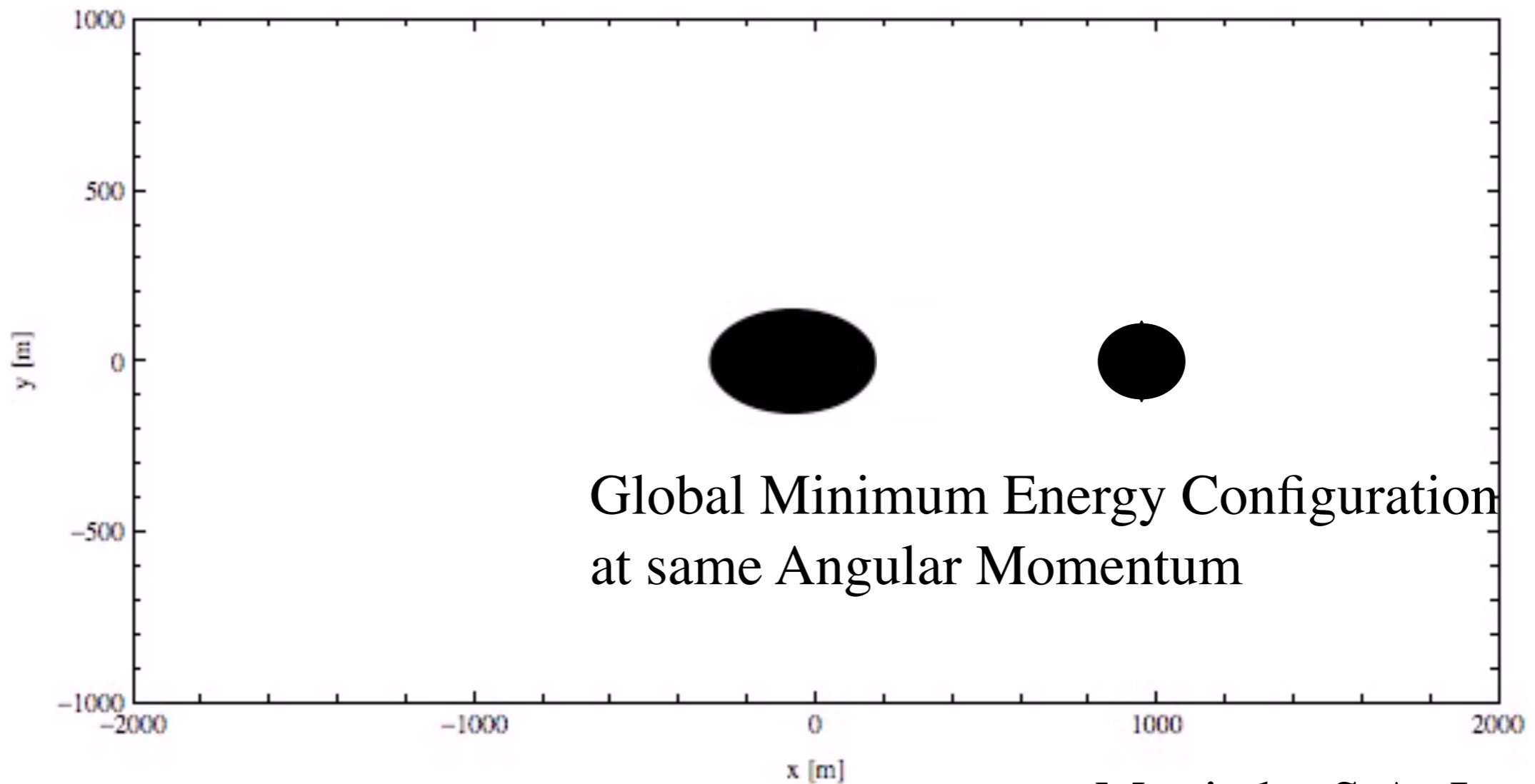
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Reconfigurations and Fission Events



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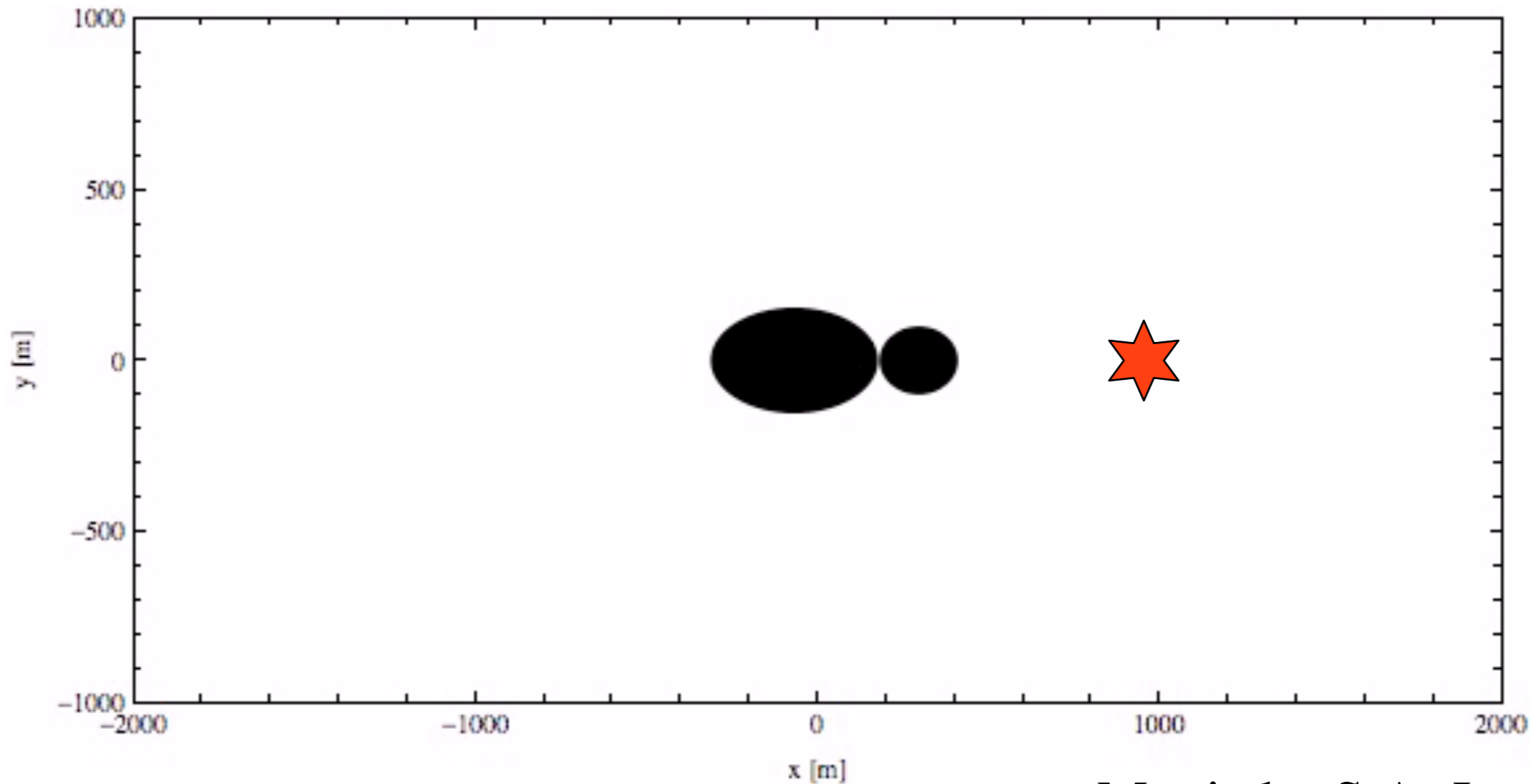
Movie by S.A. Jacobson



Reconfigurations and Fission Events



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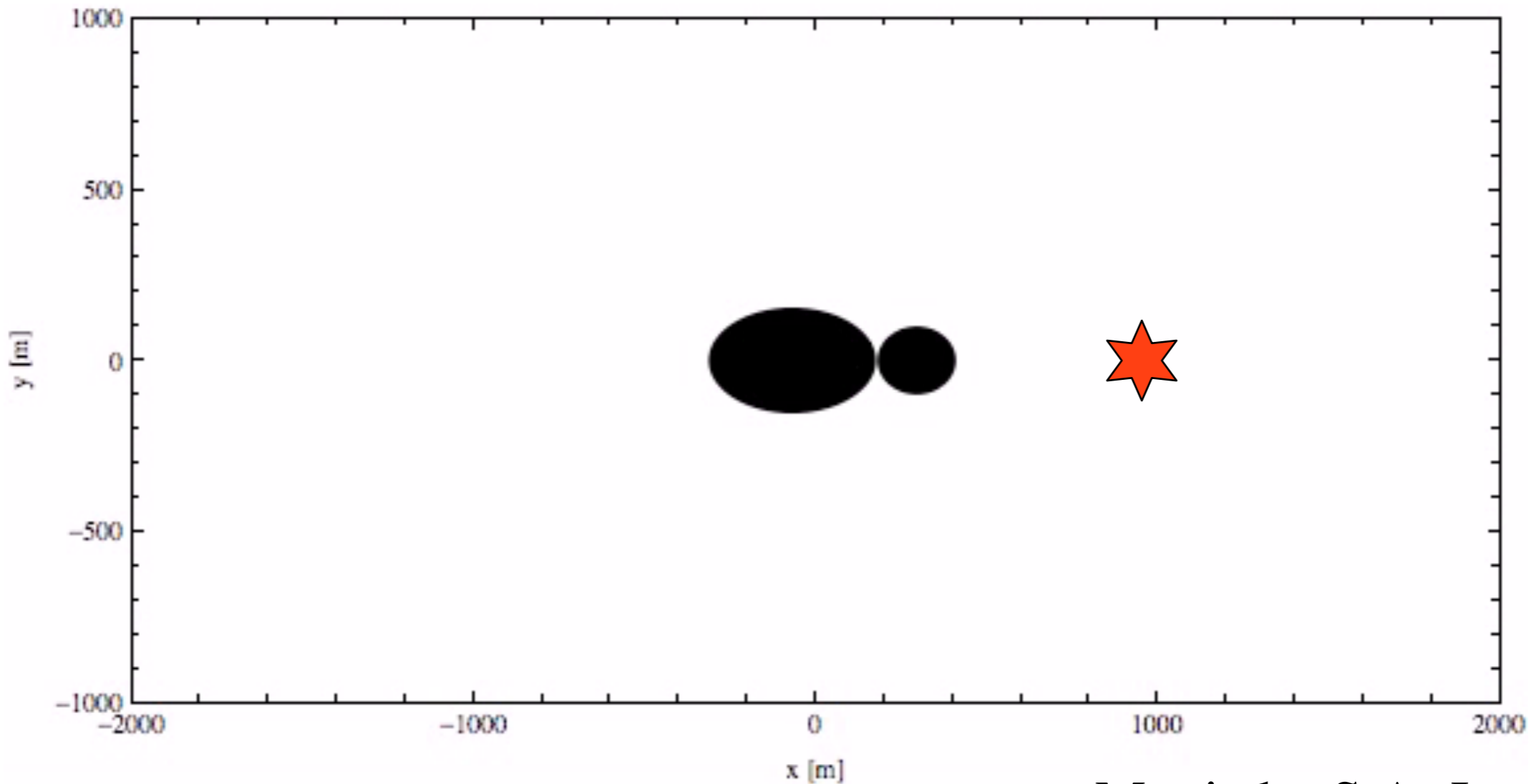
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Reconfigurations and Fission Events



- When a Local Minimum reaches its reconfiguration or fission state it cannot directly enter a different minimum energy state
 - Excess energy ensures a period of dynamics where dissipation may occur



Movie by S.A. Jacobson



Summary

- Study of asteroids leads directly to study of minimum energy configurations of self-gravitating grains
 - Only possible for bodies with finite density
- For finite density bodies, minimum energy and stable configurations are defined as a function of angular momentum by studying the minimum energy function:

$$\mathcal{E}_m = \frac{H^2}{2I_H} + U$$

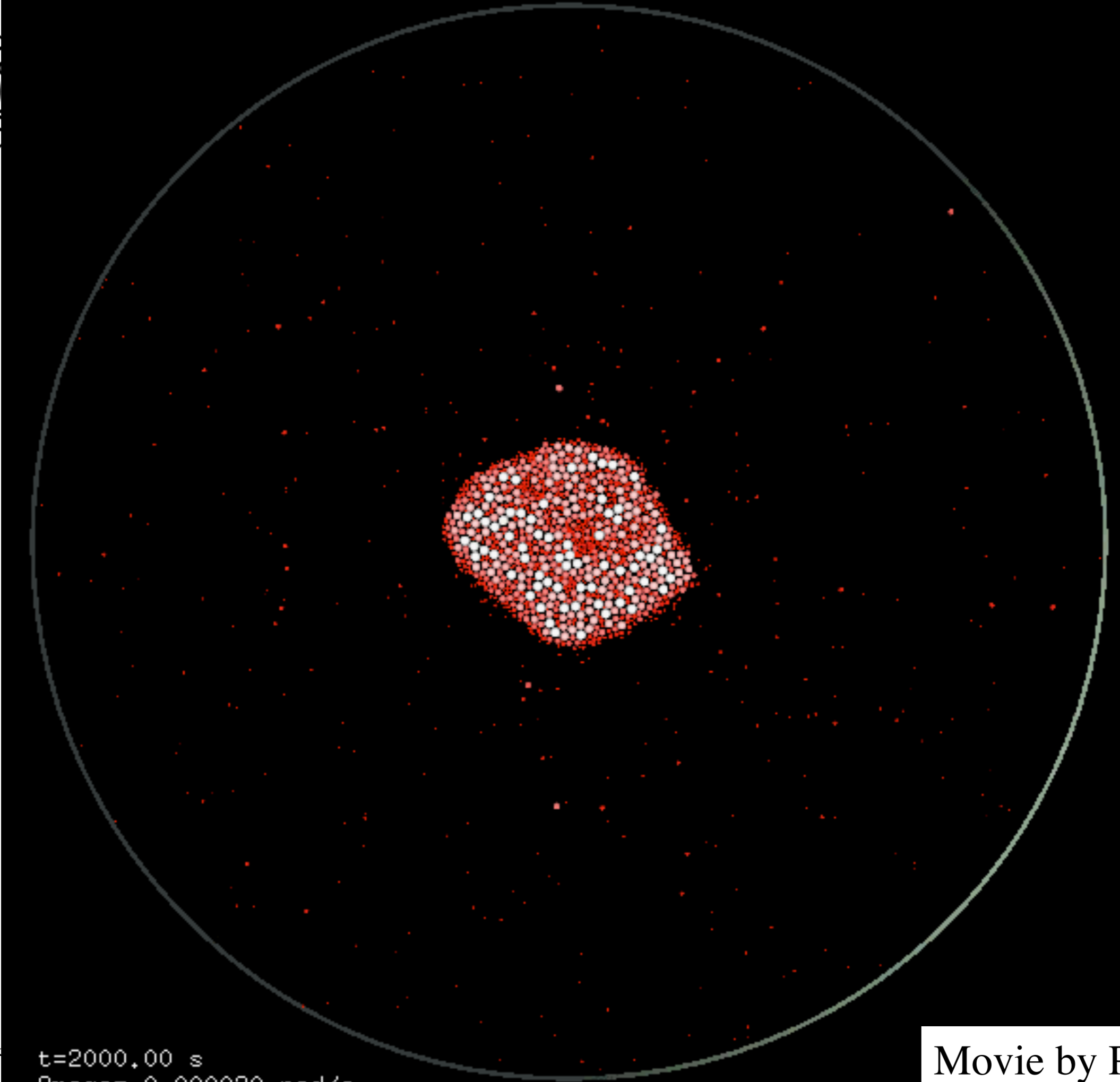
- only a function of the system configuration
 - Globally minimum energy configurations are denumerable
- Simple few body systems can be fully explored
 - Need theories for polydisperse grains and $N \gg 1$



D.J. Scheeres, A. Richard Seebass Chair, University of Colorado at Boulder

Movie by P. Sanchez

Wednesday, December 5, 2012



D.J. Sc

t=2000.00 s
 $\Omega_{\text{mag}} = -0.000020 \text{ rad/s}$

Movie by P. Sanchez